

**SECTION 313 EMERGENCY PLANNING AND
COMMUNITY RIGHT-TO-KNOW ACT
GUIDANCE FOR CHEMICAL DISTRIBUTION FACILITIES**

(Version 1.0)

OCTOBER 7, 1997

TABLE OF CONTENTS**SECTION 1**

INTRODUCTION	1-1
--------------------	-----

SECTION 2

SECTION 313 REPORTING REQUIREMENTS	2-1
WHO MUST REPORT?	2-1
Reduced Reporting	2-2
What is a Facility?	2-2
How to Determine Your SIC Code	2-3
How to Determine Your Number of Employees	2-4
CHEMICAL ACTIVITY THRESHOLDS	2-4
Manufacture	2-4
Process	2-5
Otherwise use	2-6
EXEMPTIONS	2-9
SUPPLIER NOTIFICATION REQUIREMENTS	2-13
LISTED SECTION 313 CHEMICALS	2-14
WHAT MUST BE REPORTED?	2-16
DOCUMENTING REPORTING EFFORTS	2-17

SECTION 3

MAKING THE THRESHOLD DETERMINATION	3-1
CONDUCTING THE THRESHOLD DETERMINATION	3-3

SECTION 4

OVERVIEW OF SECTION 313 RELEASE ESTIMATION	4-1
GENERAL CONCEPTS	4-1
Release Estimation	4-1
Reasonable Estimates: Significant Figures and Use of Range Codes	4-4
"NA" versus "0"	4-5
REPORTING RELEASES IN FORM R, PART II	4-5
Fugitive or Non-Point Emissions	4-6
Stack or Point-Source Air Emissions	4-8
Wastewater Discharges	4-9
Underground Injection On-Site	4-10
Release to Land On-Site	4-11
Transfers in Wastes to Other Off-site Locations	4-12
On-site Waste Treatment Methods and Efficiency	4-12
On-site Energy Recovery Processes	4-13
On-site Recycling Processes	4-14

Source Reduction and Recycling Activities	4-14
Quantity Released	4-14
Quantity Used for Energy Recovery On-site	4-14
Quantity Used for Energy Recovery Off-site	4-15
Quantity Recycled On-site	4-15
Quantity Recycled Off-site	4-15
Quantity Treated On-site	4-15
Quantity Treated Off-site	4-16
Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-time Events Not Associated with Production Processes	4-16
SECTION 5	5-1
CALCULATING RELEASE ESTIMATIONS AT CHEMICAL AND ALLIED PRODUCTS - WHOLESALE, NOT ELSEWHERE CLASSIFIED	5-1
Warehousing and Storage Activities.	5-2
Transfer and Formulation	5-3
Plant Maintenance and Support Activities	5-5
APPENDIX A	A-1
ALPHABETICAL LISTING OF SECTION 313 CHEMICALS	A-1
APPENDIX B	B-1
BIBLIOGRAPHY	B-1
APPENDIX C	C-1
SECTION 313 RELATED MATERIALS AND ELECTRONIC ACCESS TO INFORMATION	C-1

LIST OF TABLES

Table 1-1. Summary of Reporting Requirements Under EPCRA	1-4
Table 3-1. Examples of Manufactured, Processed, and Otherwise Used Chemicals at Chemical Distribution Facilities	3-1
Table 4-1. SOCM I Average Emission Factors.	4-7

LIST OF FIGURES

Figure 5-1. Chemical Distributor Operations and Potential Releases	5-1
--	-----

SECTION 1 INTRODUCTION

This guidance document has been prepared to assist chemical distributor facilities in complying with the reporting requirements of Section 313 of the Emergency Planning and Community Right-to-Know Act (EPCRA, Public Law 99-499, Title III of the Superfund Amendments and Reauthorization Act of 1986, hereafter EPCRA Section 313) and Section 6607 of the Pollution Prevention Act (PPA). This guidance document is intended for use along with the Toxic Chemical Release Inventory Reporting Form R and Instructions document published annually by the U.S. Environmental Protection Agency (EPA). For further assistance and to obtain copies of the latest version of this instruction document, contact the EPCRA Hotline at 1-800-535-0202. The other EPCRA reporting programs are summarized at the end of this section.

One of the primary goals of the EPCRA program is to increase the public's knowledge of, and access to, information on both the presence of toxic chemicals in their communities and on releases and other waste management activities of toxic chemicals into the environment. Since 1987, certain facilities in the manufacturing sector have been reporting information on releases and other waste management activities of toxic chemicals to EPA and states throughout the United States. As a result of an EPA rulemaking (62 FR 23834, May 1, 1997), certain additional industry groups, including chemical distribution facilities (Standard Industrial Classification (SIC) code 5169, Wholesale Nondurable Goods-Chemicals and Allied Products, Not Elsewhere Classified), are now required to evaluate their chemical use and management activities to determine potential reporting responsibilities under EPCRA Section 313. Facilities within this SIC code generally engage in the wholesale distribution of chemicals and allied products, such as acids, industrial and heavy chemicals, dyestuffs, industrial salts, rosin, and turpentine.¹

Section 313 establishes annual reporting requirements for Section 313 toxic chemicals provided that certain activity thresholds are met. Section 313 includes a list of over 650 chemicals and chemical categories. These chemicals and chemical categories were either originally selected by Congress or were added by EPA through rulemaking.

The Section 313 reporting requirements apply to owners or operators of facilities which meet all of the following three criteria:

- The facility must be in SIC code 10 (except 1011, 1081, and 1094), or 12 (except 1241), or 20-39 (manufacturing facilities), or 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution

¹OMB. Standard Industrial Classification Manual. pp.309-310.

in commerce) and 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4953 (limited to facilities regulated under RCRA subtitle C), or **5169**, or 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); and,

- The facility must have 10 or more full-time employees (or the total hours worked by all employees is greater than 20,000 hours), and
- The facility manufactures (defined to include importing), processes, or otherwise uses any Section 313 chemical in quantities greater than the established threshold in the course of a calendar year.

For each Section 313 chemical or chemical category, covered facilities must report the total annual releases, both routine and accidental, to all environmental media; and other on-site waste management activities, including quantities recycled, combusted for energy recovery and treated for destruction, and off-site transfers for disposal, waste treatment, energy recovery and recycling. This information is submitted on the Toxic Chemical Release Inventory (TRI) Reporting Form, which is called the "Form R." (As discussed in the following chapter, facilities meeting certain conditions are eligible to report using an abbreviated Form A.)

The annual Form R or Form A reports are submitted to EPA headquarters and to a state designated agency, usually a State Emergency Response Commission (SERC) but may be a Tribal Emergency Response Commission (TERC), annually on or before July 1st for activities occurring during the previous calendar year (e.g., July 1, 1999, for activities during the period from January 1 to December 31, 1998).

EPCRA mandated that EPA establish and maintain a national TRI database to assist in research and the development of regulations, guidelines, and standards related to Section 313 chemicals and to make the TRI data available to the general public and any interested parties. The TRI database is computer-accessible to anyone with a modem via the National Library of Medicine's TOXNET on-line system. The TRI data are also available through many other sources, including EPA's Internet Web site; public libraries on microfiche; the Government Printing Office on CD-ROM; and the National Technical Information Service on magnetic tape and individual state diskettes.

Facility owners or operators who violate the Section 313 reporting provisions may be assessed civil penalties of up to \$25,000 per day for each violation. In addition, state enforcement provisions may also be applicable depending on the state's EPCRA Section 313 reporting regulations.

This document is organized into several sections to provide quick reference. Section 2 presents an overview of the Section 313 reporting requirements. Section 3 provides a detailed discussion of how to make threshold determinations regarding the manufacture, processing, and otherwise use of Section 313 chemicals. Section 4 covers general concepts relating to reporting and release estimating, and provides potential data sources for determining releases at chemical distribution facilities and other amounts managed. Section 5 presents a detailed discussion of EPCRA Section 313 release and other waste management scenarios in the chemical distribution industry and covers developing estimates of releases and other waste management activities for several types of operations commonly encountered by the chemical distribution industry. Finally, Appendix A provides an alphabetical listing of the Section 313 chemicals and chemical categories subject to EPCRA Section 313, the *de minimis* concentrations for each Section 313 chemical, and the RCRA status of the chemical. Appendix B provides a bibliography of relevant EPA documents used to help chemical distribution facilities in complying with EPCRA Section 313. Appendix C provides relevant online information sources.

Table 1-1. Summary of Reporting Requirements Under EPCRA

EPCRA Section	Reporting Requirements
Sections 302 - 303 Presence of Extremely Hazardous Substances (40 CFR §355.30)	If a facility has one or more “extremely hazardous substances” present on site in quantities greater than Threshold Planning Quantities (TPQs) established by EPA, it must notify its State Emergency Response Commission (SERC) and Local Emergency Planning Committee (LEPC) that it is subject to the emergency planning requirements of these sections. A facility representative must be designated to participate in the local emergency planning process. The facility also must provide any information deemed necessary for development or implementation of a local emergency plan.
Section 304 Emergency Notification (40 CFR §355.40)	A facility must notify the LEPC and SERC immediately of the release of any “extremely hazardous substance” (listed in 40 CFR Part 355, Appendices A and B) or any hazardous substance under CERCLA (listed in 40 CFR 302.4), in amounts at or above the specified Reportable Quantities that EPA establishes for each substance. The facility must follow up this initial notification with a written statement providing details of the incident.
Section 311 Material Safety Data Sheet (MSDS) Reporting (40 CFR §370.21)	A facility must submit to the LEPC, SERC, and local fire department a list of Material Safety Data Sheets (MSDSs), or copies of MSDSs, for any “hazardous chemicals” (as defined under the Occupational Safety and Health Administration (OSHA) Hazard Communication Standard) that are present on site in quantities greater than 10,000 pounds. A facility also must report any “extremely hazardous substances” (EHS) (as defined under Section 302) that are present on site in quantities at or above the TPQ or 500 pounds, whichever is less. Submissions are required within 90 days of the date when new chemicals are first present at or above specified thresholds or if new information on previously reported chemicals becomes available. Some states have established lower activity thresholds.
Section 312 Hazardous Chemical Inventory (40 CFR §370.25)	A facility must submit to the LEPC, SERC, and local fire department certain information for any “hazardous chemical” or EHS reportable under Section 311. This information is most commonly submitted on a Tier I or Tier II Form and includes a description of any type of hazard the material may pose, the quantities stored, general storage locations, and type of storage. The reports for each calendar year are due on or before March 1 of the following year. Most states require or request that facilities submit the more detailed Tier II reporting form or a state-issued version of that form. In addition, some states have established lower activity thresholds and require more detailed or additional information.

EPCRA Section	Reporting Requirements
Section 313: Toxic Chemical Release Inventory Reporting (Form R) (40 CFR §372)	<p>A facility in certain SIC codes meeting threshold requirements is required to report annually amounts of listed Section 313 toxic chemicals released or otherwise managed to EPA and designated state agencies. Section 313 includes a list of over 650 chemicals and chemical categories. Release reporting information is submitted on the Toxic Chemical Release Inventory (TRI) Reporting Form, Form R.</p> <p>The Section 313 reporting requirements apply to owners or operators of facilities which meet <u>all</u> of the following three criteria:</p> <ul style="list-style-type: none">■ Facility must be in SIC code 10 (except 1011, 1081, and 1094), or 12 (except 1241), or 20-39 (manufacturing facilities), or 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce) and 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4953 (limited to facilities regulated under RCRA subtitle C), or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); <u>and</u>,■ Facility must have 10 or more full-time employees (or the total hours worked by all employees is greater than 20,000 hours), <u>and</u>■ Facility must manufacture (including importation), process, or otherwise use a listed Section 313 chemical in excess of specific threshold quantities. <p>The threshold quantities for reporting under Section 313 are based on the amount of the Section 313 chemical manufactured, processed, or otherwise used during the calendar year. Specifically, the thresholds are greater than 25,000 pounds if manufactured, or 25,000 pounds if processed, or 10,000 pounds if otherwise used.</p> <p>EPCRA mandated that EPA establish and maintain a national TRI database to assist in research and the development of regulations, guidelines, and standards related to Section 313 chemicals and to make the TRI data available to the general public and any interested parties. The TRI database is computer-accessible to anyone with a modem via the Internet or the National Library of Medicine's TOXNET on-line system.</p>

SECTION 2

SECTION 313 REPORTING REQUIREMENTS

WHO MUST REPORT?

A facility is subject to the provisions of the Section 313 reporting requirements if it meets all three of the following criteria:

The facility must be in SIC code 10 (except 1011, 1081, and 1094), or 12 (except 1241), or 20-39 (manufacturing facilities), or 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce) and 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4953 (limited to facilities regulated under RCRA subtitle C), or **5169**, or 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis) hereafter "covered SIC codes"; and,

Instructions regarding how to determine the facility SIC code, employee threshold, or activity follows; for additional detail please consult the *Toxic Chemical Release Inventory Reporting Form R and Instructions*, a document published annually by EPA.

In addition, pursuant to Executive Order (EO) 12856 signed by the President on August 3, 1993, Federal facilities are required to determine the applicability of the EPCRA Section 313 reporting requirements regardless of the facility's SIC codes. Federal facilities that have 10 or more full time employees or the equivalent and manufacture, process, or otherwise use listed Section 313 chemicals at or above established thresholds are subject to EPCRA Section 313 reporting. Federal facilities were required to begin reporting no later than reporting year 1994; their first Form R or Form A reports were due by July 1, 1995.

The amount of the chemical released to the environment does not affect the need to report. Even if there are no releases of a listed Section 313 chemical, a facility must report if it meets the requirements regarding SIC code, number of employees, and activity threshold. A threshold determination must be made individually for each Section 313 chemical.

Thresholds are based on operation year, this includes partial year reporting and reporting by a facility that is going through closure. The facilities should consider the portion of the year for which they operated to determine the actual employee hours worked as well as threshold determination and release reporting.

Reduced Reporting

On November 30, 1994, EPA published a final rule (59 FR 61488) that provides an alternative reporting option to qualifying facilities. Eligible facilities wishing to take advantage of this alternative reporting option may report on a simplified two page form referred to as Form A and do not have to use Form R. The rule entitled "TRI Alternate Threshold for Facilities with Low Annual Reportable Amounts," provides facilities that otherwise meet EPCRA Section 313 activity thresholds the option of reporting on Form A, provided that they do not exceed 500 pounds for the total annual reportable amount (defined below) for that chemical, and that the amounts manufactured, processed or otherwise used do not exceed 1 million pounds. As with determining an activity threshold to determine if the chemical activity has been exceeded, facilities must evaluate each activity threshold separately; for example, a facility that manufactures 900,000 pounds per year of a Section 313 chemical and processes 150,000 pounds per year of a Section 313 chemical would still be eligible to use the Form A.

For the purpose of reporting on Form A, the annual reportable amount is equal to the combined total quantities released (including disposed) at the facility, treated at the facility (as represented by amounts destroyed or converted by treatment processes), recycled at the facility, combusted for the purpose of energy recovery at the facility, and amounts transferred from the facility to off-site locations for the purpose of recycling, energy recovery, treatment, and/or disposal. These quantities do not include amounts of the chemical accidentally released. These volumes correspond to the sum of amounts reported on Form R, as Part II column B of section 8, data elements 8.1 (quantity released), 8.2 (quantity used for energy recovery on-site), 8.3 (quantity used for energy recovery off-site), 8.4 (quantity recycled on-site), 8.5 (quantity recycled off-site), 8.6 (quantity treated on-site), and 8.7 (quantity treated off-site). See Section 4 of this document for more guidance on completing Part II, Section 8 of Form R.

What is a facility?

Under EPCRA, a "facility" is defined as all buildings, equipment, structures, and other stationary items which are located on a single site or contiguous or adjacent sites and which are owned or operated by the same person (or by any person which controls, is controlled by, or under common control with such person). An "establishment" is generally a single physical location, where business is conducted or where services or industrial operations are performed. A facility may contain more than one establishment. For example, a chemical distribution area and solvent recycling unit would be one facility if all three units were owned and operated by the same company and are located on contiguous or adjacent properties. A single facility therefore can be a multi-establishment complex. Such a facility may submit reports that cover all its establishments, or the individual establishments may report separately. However, for the purposes of determining thresholds, all chemical activities for the entire facility must be considered.

How to determine your SIC Code**

Standard Industrial Classification (SIC) codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39 (manufacturing facilities), 4911, 4931 and 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4953 (limited to facilities regulated under RCRA subtitle C), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis) are covered under section 313 of EPCRA. The first two digits of a 4-digit SIC code define a major business sector, while the last two digits denote a facility's specialty within the major sector. A facility should determine its own SIC code(s), based on its activities on-site and the "Standard Industrial Classification Manual 1987." In some cases, a state agency or other organization may have assigned SIC codes on a different basis than the one used in the SIC Manual. For the purposes of TRI reporting, state assigned codes should not be used if they differ from ones assigned using the SIC Manual.

Your facility may include multiple establishments that have different SIC codes. In order to determine which SIC code best represents the facility, the facility should calculate the value of the products or services produced or provided at/by or shipped from each establishment within the facility and then use the following rule to determine if your facility comes within the covered SIC codes, and the SIC code criterion is met.

- If the total value of the products or services shipped, produced or provided at establishments in "covered" SIC codes is greater than 50 percent of the value of the entire facility's products and services, the entire facility comes within the covered SIC codes, and the SIC code criterion is met.
- If any one establishment in the specified set of SIC codes produces, provides or ships products or service whose value exceeds the value of products and services produced or shipped by all other establishments within the facility, the facility comes within the covered SIC codes, and the SIC code criterion is met.

The value of production or service attributable to a particular establishment may be isolated by subtracting the product or service value obtained from other establishments within the same facility from the total product or service value of the facility. This procedure eliminates the potential for "double counting" production or service in situations where establishments are engaged in sequential production activities at a single facility.

** Please note: The North American Industrial Classification System that appeared in the *Federal Register* on April 9, 1997 will replace the 1987 Standard Industrial Classification System (SIC). Regulatory entities, including EPA, will take steps to adopt the new classification system over the next few years. In the meantime, facilities should consider their activities in relation to the 1987 SIC code system until further notification is made.

How to Determine Your Number of Employees

A “full time employee,” for the purpose of Section 313 reporting, is defined as 2,000 work hours per year. The number of full time employees is dependent only upon the total number of hours worked by all employees during the calendar year for that facility and not the number of persons working. To determine the number of full time employees working for your facility, add up the hours worked by all employees during the calendar year including contract employees and sales and support staff, and divide the total by 2,000 hours. In other words, if the total number of hours worked by all employees is 20,000 hours or more, your facility meets the ten employee threshold.

Facilities may have contract workers present at times to conduct maintenance and service operations, including equipment, motor vehicle, and building maintenance, construction, and operating processes and waste management activities (e.g., remediation). The hours of all these contract workers count toward the employee threshold for reporting under Section 313. In addition, the hours worked by professionals (e.g., those on salary, that do not clock in or out) also count towards the facility's employee threshold. Employees that perform activities which routinely occur off-site such as truck drivers, but who are based at the facility are also counted towards the employee threshold. Routine activities performed at the facility by outside personnel such as contract drivers that are not based at the facility are not counted towards the employee threshold.

CHEMICAL ACTIVITY THRESHOLDS

Section 313 requires a facility that meets the SIC code and employee criteria to submit Form R reports for any listed Section 313 chemical or chemical category that it manufactures in annual quantities greater than 25,000 pounds, processes in annual quantities greater than 25,000 pounds, or otherwise uses in annual quantities greater than 10,000 pounds (40 CFR §372.3). These thresholds (manufacture, process, or otherwise use) will be referenced throughout this document as “activity thresholds.” Chemicals must be evaluated in association with one or more of these three categories when determining whether an activity threshold has been exceeded. These categories are:

- **Manufacture** - “Manufacture” means to produce, prepare, compound, or import a listed Section 313 chemical. Import is defined as causing the Section 313 chemical to be imported into the customs territory of the United States. If you order a listed Section 313 chemical (or a mixture containing the chemical) from a foreign supplier, then you have imported the chemical when that shipment arrives at your facility directly from a source outside of the United States. By ordering the chemical, you have “caused it to be imported,” even though you may have used an import brokerage firm as an agent to obtain the Section 313 chemical.

If the importation was directed by the parent company, then the facility receiving the chemical is not considered to have imported the chemical.

The term manufacture also includes coincidental production of a listed chemical (e.g., as a byproduct or impurity) as a result of the manufacture, processing, otherwise use, or waste management of other chemical substances. The fact that the coincidental manufacturing of these byproducts is not the primary purpose of the facility is irrelevant. Listed EPCRA Section 313 chemicals coincidentally manufactured by a facility must be factored into threshold determinations and release calculations.

- **Manufactured Activities and Definitions**

- **Produced or imported for on-site use/processing**
A chemical that is produced or imported and then further processed or otherwise used at the same facility.
 - **Produced or imported for sale/distribution**
A chemical that is produced or imported specifically for sale or distribution outside the facility.
 - **Produced as a by-product**
A chemical that is produced coincidentally during the production, processing, otherwise use, or disposal of another chemical substance or mixture and, following its production, is separated from that other chemical substance or mixture. Section 313 chemicals produced and released as a result of waste treatment for disposal are also considered byproducts.
 - **Produced as an impurity**
A chemical that is produced coincidentally as a result of the manufacture, processing, or otherwise use of another chemical but is not separated and remains primarily in the mixture or product with that other chemical.
-
- **Process** - "Process" means the preparation of a listed Section 313 chemical, after its manufacture, for distribution in commerce. Processing is usually the intentional incorporation of a Section 313 chemical into a product. Processing includes preparation of the Section 313 chemical in the same physical state or chemical form as that received by your facility, or preparation that produces a change in physical state or chemical form. The term also applies to the processing of a mixture or other trade name product that contains a listed Section 313 chemical as one component. Processing activities include use of Section 313 chemicals as reactants, in formulations, and as article components, and repackaging. Processing may also include the recycling of a Section 313 chemical for distribution in commerce. For example, if

a facility receives a waste containing a Section 313 chemical from off-site, stabilizes, and repackages the waste in one calendar year and then distributes the repackaged waste into commerce in the following year. The facility would count the amount of the Section 313 chemical stabilized as being processed in the year it was treated.

Relabeling or redistributing of the Section 313 chemical where no repackaging of the Section 313 chemical occurs does not constitute processing of the Section 313 chemical.

- **Processed Activities and Definitions**

- **As a reactant**

- A natural or synthetic chemical used in chemical reactions for the manufacture of another chemical substance or product. This includes, but is not limited to, feedstocks, raw materials, intermediates, and initiators.

- **As a formulation component**

- A chemical added to a product (or product mixture) prior to further distribution of the product that acts as a performance enhancer during use of the product. Examples of Section 313 chemicals used in this capacity include, but are not limited to, additives, dyes, reaction diluents, initiators, solvents, inhibitors, emulsifiers, surfactants, lubricants, flame retardants, and rheological modifiers.

- **As an article component**

- A chemical that becomes an integral component of an article distributed for industrial, trade, or consumer use.

- **Repackaging**

- Processing or preparation of a Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity. This includes, but is not limited to, the transfer of material from a bulk container, such as a tank truck, to smaller containers such as cans or bottles.

- **Otherwise use** - Any use involving a listed Section 313 chemical at a facility that does not fall under the definitions of "manufacture" or "process" is an otherwise use of that chemical. A chemical that is otherwise used by a facility is not incorporated into a product distributed in commerce and includes use of the Section 313 chemical as a chemical processing aid or as a manufacturing aid or for ancillary uses such as treating wastes. Otherwise use of a Section 313 chemical does not include disposal, stabilization (without subsequent distribution in commerce), or treatment for destruction unless:

- (1) The Section 313 chemical that was disposed, stabilized, or treated for destruction was received from off-site for the purposes of further waste management, or
- (2) The Section 313 chemical that was disposed, stabilized, or treated for destruction was manufactured as a result of waste management activities on materials received from off-site for the purpose of further waste management.

Relabeling or redistributing of the Section 313 chemical where no repackaging of the Section 313 chemical occurs does not constitute the otherwise use of the Section 313 chemical.

- **Otherwise Used Activities and Definitions**

- As a chemical processing aid

A chemical that is added to a reaction mixture to aid in the manufacture or synthesis of another chemical substance but is not intended to remain in or become part of the product or product mixture. Examples of such Section 313 chemicals include, but are not limited to, process solvents, catalysts, inhibitors, initiators, reaction terminators, and solution buffers.

- As a manufacturing aid

A chemical that aids the manufacturing process that does not become part of the resulting product and is not added to the reaction mixture during the manufacture or synthesis of another chemical substance. Examples include, but are not limited to, process lubricants, metalworking fluids, coolants, refrigerants, and hydraulic fluids.

- Ancillary or other use

A chemical that is used at a facility for purposes other than aiding chemical processing or manufacturing as described above. Examples of such Section 313 chemicals include, but are not limited to, cleaners, degreasers, lubricants, fuels, and chemicals used for treating wastes.

For purposes of the otherwise use definition, EPA interprets **waste management** activities to include recycling, combustion for energy recovery, treatment for destruction, waste stabilization, and release, including disposal. Waste management does not include the storage, container transfer, or tank transfer of a Section 313 chemical if no recycling, combustion for energy, treatment for destruction, waste stabilization, or release of the chemical occurs at the facility. (See 62 FR 23850)

Recycling for the purposes of EPCRA Section 313 means the following: (1) the recovery for reuse of a Section 313 chemical from a gaseous, aerosol, aqueous, liquid, or solid stream; or (2) the reuse or the recovery for use of a Section 313 chemical that is a RCRA hazardous waste as defined in 40 CFR Part 261. Recovery is the act of extracting or removing the Section 313 chemical from a waste stream and includes: (1) the reclamation of the Section 313 chemical from a stream that entered a waste treatment or pollution control device or process where destruction of the stream or destruction or removal of certain constituents of the stream occurs (including air pollution control devices or processes, wastewater treatment or control devices or processes, Federal or state permitted treatment or control devices or processes, and other types of treatment or control devices or processes); and (2) the reclamation for reuse of an "otherwise used" Section 313 chemical that is spent or contaminated and that must be recovered for further use in either the original or any other operations. (See EPA document, *Interpretations of Waste Management Activities: Recycling, Combustion for Energy Recovery, Waste Stabilization and Release*.)

Combustion for energy recovery is interpreted by EPA to include the combustion of a Section 313 chemical that is (1) (a) a RCRA hazardous waste or waste fuel, (b) a constituent of a RCRA hazardous waste or waste fuel, or © a spent or contaminated "otherwise used" material; and that (2) has a heating value greater than or equal to 5,000 British thermal units (BTU) per pound in an energy or materials recovery device. Energy or materials recovery devices are boilers and industrial furnaces as defined in 40 CFR §372.3 (See 62 FR 23891). In determining whether an EPCRA Section 313 listed toxic chemical is combusted for energy recovery, the facility should consider the BTU value of the Section 313 chemical and not of the chemical stream. If the heating value of the Section 313 chemical is below 5,000 BTU, the chemical is being treated for destruction. A facility that blends and subsequently distributes in commerce a waste-derived fuel "processes" EPCRA Section 313 listed toxic chemicals that are constituents of that waste-derived fuel. In contrast, if subsequent to blending the waste-derived fuel, that same facility combusts on-site the waste-derived fuel in an energy recovery unit, the facility "otherwise uses" EPCRA Section 313 listed toxic chemicals that are constituents of that waste-derived fuel. An EPCRA Section 313 listed toxic chemical that has a heat value of less than 5,000 BTUs and that is a constituent of a waste-derived fuel is "otherwise used" if that fuel is combusted in an on-site energy recovery unit (62 FR 23851).

EPA defines **Treatment for destruction** to mean the destruction of a Section 313 chemical in waste such that the substance is no longer the Section 313 chemical subject to reporting under EPCRA Section 313. Treatment for destruction does not include the destruction of a Section 313 chemical in waste where the Section 313 chemical has a heat value greater than 5,000 British Thermal Units (BTU) and is combusted in any device that is an industrial boiler or furnace. (See 40 CFR §372.3.) "Treatment for destruction" includes acid or alkaline

neutralization if the Section 313 chemical is the entity that reacts with the acid or base.

"Treatment for destruction" does not include: (1) neutralization of a waste stream containing Section 313 chemicals if the Section 313 chemicals themselves do not react with the acid or base (See 40 CFR §372.3), (2) preparation of a Section 313 chemical for disposal, (3) removal of Section 313 chemicals from waste streams, and (4) activities intended to render a waste stream more suitable for further use or processing, such as distillation or sedimentation. For example, neutralization of pure nitric acid is considered treatment for destruction. In contrast, neutralization of nitric acid containing three percent lead is not considered treatment for destruction of the lead component, because the lead has not reacted with the neutralizing agent (See 62 FR 23852).

EPA defines **Waste stabilization** to mean any physical or chemical process used to either reduce the mobility of hazardous constituents in a hazardous waste or eliminate free liquid as determined by a RCRA approved test method (e.g., Test Method 9095). A waste stabilization process includes mixing the hazardous waste with binders or other materials and curing the resulting hazardous waste and binder mixture. Other synonymous terms used to refer to this process are "stabilization," "waste fixation," or "waste solidification." (See 40 CFR §372.3.)

Release is defined by EPCRA Section 329(8) to mean any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment (including the abandonment or discarding of barrels, containers, and other closed receptacles) of any Section 313 chemical. (See 40 CFR §372.3.)

Disposal is defined by EPCRA to mean any underground injection, placement in landfills/surface impoundments, land treatment, or other intentional land disposal. (See 40 CFR §372.3.)

Based on EPA's evaluation of the chemical distribution industry, the Agency believes that chemical distribution activities routinely involve or result in the manufacturing, processing, or otherwise use of EPCRA Section 313 chemicals (62 FR 23834, May 1, 1997). The term manufacture includes the coincidental manufacture of a chemical, such as a byproduct or impurity, from the manufacturing, processing, otherwise use or waste management of another chemical substance or mixture. Thresholds must be calculated separately for manufacture, process, or otherwise use of the same chemical. If any single threshold is exceeded for a listed Section 313 chemical, the facility must submit a Form R covering all nonexempt activities. chemical distribution facilities will be required to factor into their threshold determinations and reporting calculations the quantities of EPCRA Section 313 chemicals used in support activities such as non-motor vehicle equipment maintenance. Chemicals involved in these support activities are classified under the otherwise use category.

EXEMPTIONS

Section 313 provides for exemptions from reporting for specific “processing” or “otherwise use” activities. The instructions provided in *Toxic Chemical Release Inventory Reporting Form R and Instructions* should be reviewed carefully before proceeding. The following discussion summarizes the exemption instructions. A facility does not have to consider amounts of listed Section 313 chemicals involved in any of these processing or otherwise use activities when determining if activity thresholds have been exceeded or when estimating environmental releases. Limited exemptions apply to manufacturing activities. For example, manufacturing a Section 313 chemical for research and development purposes and manufacturing as an impurity below a specified level in a product distributed beyond the facility both can be exempt. The EPA’s *Toxic Chemical Release Inventory Questions and Answers, Revised 1990 Version* [EPA 560/4/91-003 (will be revised in near future)] and the *Toxic Release Inventory Reporting Form R and Instructions* also contain information about these exemptions. (See Appendix B for ordering information.)

- The ***de minimis*** exemption allows facilities to disregard certain minimal concentrations of chemicals in mixtures or trade name products they “process” or “otherwise use” in making threshold determinations and release and other waste management determinations. The *de minimis* exemption does not apply to the “manufacture” of a Section 313 chemical except if that Section 313 chemical is “manufactured” as an impurity and remains in the product distributed in commerce below the appropriate *de minimis* level. The *de minimis* exemption does not apply to a byproduct “manufactured” coincidentally as a result of “manufacturing,” “processing,” “otherwise use,” or any waste management activities.

This *de minimis* exemption applies solely to “mixtures” and trade name products. EPA’s long-standing interpretation has been that “mixture” does not include waste. Therefore, the *de minimis* exemption cannot be applied to Section 313 chemicals in a waste even if the waste is being “processed” or “otherwise used.”

When determining whether the *de minimis* exemption applies to a listed Section 313 chemical, the owner or operator should only consider the concentration of the Section 313 chemical in mixtures and trade name products in process streams in which the Section 313 chemical is undergoing a reportable activity. If the Section 313 chemical in a process stream is “manufactured” as an impurity, “processed,” or “otherwise used” and is below the appropriate *de minimis* concentration level, then the quantity of the Section 313 chemical in that process stream does not have to be applied to threshold determinations nor included in release or other waste management determinations. If a Section 313 chemical in a process stream meets the *de minimis* exemption, all releases and other waste management activities associated with

the Section 313 chemical in that stream are exempt from EPCRA section 313 reporting. It is possible to meet an activity (e.g., processing) threshold for a Section 313 chemical on a facility-wide basis, but not be required to calculate releases or other waste management quantities associated with a particular process because that process involves only mixtures or trade name products containing the Section 313 chemical below the *de minimis* level.

Once a Section 313 chemical concentration is above the appropriate *de minimis* concentration, threshold determinations and release and other waste management determinations must be made, even if the chemical later falls below *de minimis* level in the same process stream. Thus, all releases and other quantities managed as waste which occur after the *de minimis* level has been exceeded are then subject to reporting. If a Section 313 chemical in a mixture or trade name product above *de minimis* is brought on-site, the *de minimis* exemption never applies.

The *de minimis* concentration level is consistent with the OSHA Hazard Communication Standard requirements for development of Material Safety Data Sheets (MSDSs). The *de minimis* level is 1.0 percent except if the Section 313 chemical is an OSHA-defined carcinogen. The *de minimis* level for OSHA-defined carcinogens is 0.1 percent. For mixtures or other trade name products that contain one or more members of a listed Section 313 toxic chemical category, the *de minimis* level applies to the aggregate concentration of all such members and not to each individually. The list of Section 313 chemicals in the publication *Toxic Chemical Release Inventory Reporting Form R and Instructions* for the current reporting year contains the *de minimis* values for each of the Section 313 chemicals and chemical categories.

- **Materials that are processed or used as articles** - Quantities of a listed Section 313 chemical contained in an article do not have to be factored into threshold or release determinations when that article is processed or otherwise used at your facility. An article is defined as a manufactured item that is formed to a specific shape or design during manufacture, that has end-use functions dependent in whole or in part upon its shape or design during end-use, and that does not release a Section 313 chemical under the normal conditions of the processing or use of that item at the facility.

If the processing or otherwise use of like articles results in a total release of less than 0.5 pounds of a Section 313 chemical in a calendar year to all environmental media, EPA will allow this release quantity to be rounded to zero, and the manufactured items remain exempt as articles. EPA requires facilities to round off and report all estimates to the nearest whole number. The 0.5-pound limit does not apply to each individual article, but applies to the sum of all releases from processing or otherwise use of like articles.

The article exemption applies to the normal processing or otherwise use of an article. It does not apply to the manufacture of an article. Thus, Section 313 chemicals processed into articles manufactured at a facility must be counted in threshold determinations.

A closed item containing Section 313 chemicals (e.g., a transformer containing PCBs) that does not release the Section 313 chemicals during normal use is considered an article if a facility uses the item as intended and the Section 313 chemicals are not released. If a facility services the closed item (e.g., a transformer) by replacing the Section 313 chemicals, the Section 313 chemicals added during the reporting year must be counted in threshold and release and other waste management calculations.

- **Materials that are structural components of the facility** - Chemicals present in materials used to construct, repair, or maintain a plant building are exempt from the activity thresholds. For example, solvents and pigments present in paint used to coat the structural components of a building would be exempt from threshold determination and release reporting.
- **Materials used for janitorial or facility grounds maintenance** - Chemicals present in materials used for routine or facility grounds maintenance are exempt from the activity thresholds. Examples include bathroom cleaners, fertilizers, and garden pesticides in the same form and concentration commonly distributed to consumers. Chemicals used for equipment maintenance, such as the use of oil or cleaning solvents, are not exempt.
- **Materials used with facility motor vehicles** - Chemicals present in materials used for operating and maintaining motor vehicles operated by the facility are exempt from the activity thresholds. Examples include gasoline, radiator coolant, and windshield wiper fluid used in equipment such as cars, trucks, forklifts, and tow motors.
- **Personal items** - Chemicals present in materials such as foods, drugs, cosmetics, or other personal items are exempt from the activity thresholds. Examples include materials used in the facility cafeteria and infirmary. Chemicals used for heating and air conditioning solely to provide comfort to personnel are also exempt from reporting. If a building's temperature is regulated to facilitate a process or treatment operation, the Section 313 chemicals used to heat or cool the building are not exempt. Units that supply both personal comfort and operational needs may be apportioned, if it is possible to separate them.
- **Laboratory materials** - Chemicals used in certain laboratory activities that are conducted under the supervision of a technically qualified individual (as defined under 40 CFR §720.3(ee)) are exempt from the activity thresholds. The laboratory activities exemption applies only to sampling and analysis, research and development, and quality assurance and

quality control activities. The exemption does not apply to the use or production of listed Section 313 chemicals in pilot-plant operations and laboratories for distribution in commerce (e.g., specialty chemicals) and laboratory support services.

- **Materials as they are drawn from the environment or municipal sources** - Chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion) are exempt from the activity thresholds.
- **Property owners** - Property owners that merely own real estate on which a facility covered by Section 313 is located and have no business interest in the operation of that facility (e.g., a company owns an industrial park) are exempt for Section 313 reporting. The operator of that facility, however, is subject to reporting requirements.

SUPPLIER NOTIFICATION REQUIREMENTS

Because manufacturers reporting under Section 313 must know the Section 313 chemical composition of the products they use to be able to accurately calculate releases, EPA requires some suppliers of mixtures or trade name products containing one or more of the listed Section 313 chemicals to notify their customers of the identity of the chemical in the mixture or the trade name product. This requirement has been in effect since January 1, 1989.

A facility must comply with the Section 313 supplier notification requirements if it owns or operates a facility which meets all of the following criteria.

- The facility is in SIC codes 20-39,
- The facility manufactures, imports, or processes a Section 313 chemical, and
- The facility sells or otherwise distributes in commerce a mixture or trade name product containing the Section 313 chemical to either:
 - A facility described in 40 CFR §372.22 (covered facility group), or
 - A facility that then sells the same mixture or trade name product to a facility described in 40 CFR §372.22 (covered facility group).

The supplier notification requirements do not apply to TRI chemicals that are themselves wastestreams or are constituents of wastestreams.

LISTED SECTION 313 CHEMICALS

Appendix A to this document contains an alphabetical listing of the chemicals subject to Section 313 reporting at the time of publication of this document, including their *de minimis* concentrations. EPA publications *Common Synonyms for Section 313 Chemicals* (EPA 745-R-95-008) and *Consolidated List of Chemicals Subject to Reporting Under the Act (Title III List of Lists)* (EPA-550-B-96-015) may also be useful references when reviewing the chemicals at your facility for Section 313 coverage.

The list of Section 313 chemicals is amended frequently. Users of this guidance document or other documents listing Section 313 chemicals are cautioned that changes may have occurred to the list of Section 313 chemicals since publication of the original list or addition of the chemical through administrative action. The list of Section 313 chemicals presented in the *Toxic Chemical Release Inventory Reporting Form R and Instructions* for the current reporting year should always be consulted as the most up-to-date source of currently listed Section 313 chemicals. For the latest information on Section 313 chemical listings, contact the EPCRA Hotline at 1-800-535-0202.

Some of the Section 313 chemicals have qualifiers included with their names. Reporting on these chemicals are determined by the conditions specified in the qualifiers. Chemicals that are listed without parenthetical qualifiers are subject to reporting in all forms in which they are manufactured, processed, or otherwise used. Descriptions of the qualifiers are as follows:

- **Fume or dust** - Three of the metals on the list of Section 313 chemicals (aluminum, vanadium, and zinc) contain the qualifier "fume or dust." Fume or dust refers to dry forms of these metals, not to "wet" forms such as solutions or slurries. Thus, a facility should determine if, for example, it generated more than 25,000 pounds of "aluminum (fume or dust)." Similarly, there may be certain technologies in which one of these metals is processed in the form of a fume or dust to make other Section 313 chemicals or other products for distribution in commerce. In reporting releases, the facility would report only releases of the fume or dusts.

EPA considers dusts to consist of solid particles generated by any mechanical processing of materials including crushing, grinding, rapid impact, handling, detonation, and decrepitation of organic and inorganic materials such as rock, ore, and metal. Dusts do not tend to flocculate, except under electrostatic forces. A fume is an airborne dispersion consisting of small solid particles created by condensation from a gaseous state, in distinction to a gas or vapor. Fumes arise from the heating of solids such as aluminum. The condensation is often accompanied by a chemical reaction such as oxidation. Fumes flocculate and sometimes

coalesce. Other metals, (e.g., such as lead or copper) are not limited by this qualifier and are subject to reporting in all forms (fume, dust, and wet).

- **Manufacturing qualifiers** - Two of the entries in the Section 313 chemical list contain a qualifier relating to manufacture. For isopropyl alcohol, the qualifier is “manufacturing - strong acid process”. For saccharin the qualifier simply is “manufacturing.” For isopropyl alcohol, the qualifier means that only facilities manufacturing isopropyl alcohol by the strong acid process are required to report. In the case of saccharin, only manufacturers of the Section 313 chemical are subject to the reporting requirements. A facility that processes or otherwise uses either Section 313 chemical would not be required to report for those chemicals. In both cases, the facility is not required to provide supplier notification because only the manufacturer, not the user, of the Section 313 chemical must report.
- **Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)** - The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore, when determining thresholds, releases, and other waste management quantities all anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. Any evaporation of ammonia from aqueous ammonia solutions is considered anhydrous ammonia and should be included in the appropriate threshold and release determinations.
- **Phosphorus (yellow or white)** - The listing for phosphorus is qualified by the term “yellow or white” This means that only manufacturing, processing, or otherwise use of phosphorus in the yellow or white chemical forms require reporting. Conversely, manufacturing, processing, or otherwise use of “black” or “red” phosphorus does not trigger reporting.
- **Asbestos (friable)** - The listing for asbestos is qualified by the term “friable,” referring to the physical characteristic of being able to be crumbled, pulverized, or reducible to a powder with hand pressure. Only manufacturing, processing, or otherwise use of asbestos in the friable form triggers reporting.
- **Aluminum oxide (fibrous forms)** - The listing for aluminum oxide is qualified by the term “fibrous forms.” Fibrous refers to a man-made form of aluminum oxide that is processed to produce strands or filaments which can be cut to various lengths depending on the application. Only manufacturing, processing, or otherwise use of aluminum oxide in the fibrous form triggers reporting.

- **Hydrochloric acid and sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)** - The qualifier for hydrochloric acid and sulfuric acid means that only aerosol forms of this chemical are reportable. Aqueous solutions are not covered by this listing, but airborne forms generated from aqueous solutions are covered.
- **Nitrate compounds (water dissociable; reportable only when in an aqueous solution)** - The qualifier for the nitrate compounds category limits the reporting to nitrate compounds that dissociate in water, and thereby generate nitrate ions. For the purposes of threshold determinations, the entire weight of the nitrate compound must be included in all calculations. For the purposes of reporting releases and other waste management quantities, only the weight of the nitrate ion should be included in the calculations of these quantities.

WHAT MUST BE REPORTED?

If your facility is included in the specified set of SIC codes, has ten or more full-time employees or the equivalent, and manufactures, processes, or otherwise uses one of the listed Section 313 chemicals in amounts greater than the appropriate thresholds, you must report the following information on Form R:

- Name and location of your facility;
- Identity of the listed Section 313 chemical (unless you claim its identity to be a trade secret);
- Whether you manufacture, process, or otherwise use the chemical any other way;
- Maximum quantity of the chemical on-site at any time during the year;
- Quantities of the chemical released during the year to environmental media, including both accidental spills and routine emissions;
- Quantities of the chemical subject to on site waste management actions, including recycling, energy recovery, or waste treatment;
- Off-site locations to which you shipped wastes containing the chemical and the quantities of the chemical sent to those locations;
- Information on source reduction activities; and

- Treatment methods used for wastes containing the chemical and estimates of their efficiency for the reportable Section 313 chemical.

A release is defined under EPCRA Section 329(8) as any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment of any listed Section 313 chemical. The definition of release includes the abandonment or discarding of barrels, containers, and other closed receptacles. Separate release estimates must be provided for releases to air, water, and land (e.g., deep well injection, surface impoundment, permitted landfill).

DOCUMENTING REPORTING EFFORTS

Sound recordkeeping practices are essential for accurate and efficient TRI reporting. EPA requires that facilities keep a copy of each Form R or Form A report filed for at least three years from the date of submission (40 CFR §372.10). These reports will also be of use to facilities in subsequent years when completing future Form R or Form A reports. EPA also requires that facilities maintain those documents, calculations, worksheets, and other forms upon which they relied to file Form R or Form A reports. EPA may request this supporting documentation from the facility, for example, to conduct data quality reviews of present or past Form R or Form A submissions.

Supporting documentation, organized by year, that a facility should maintain may include, if applicable:

- Previous years' Forms Rs and Form As;
- Section 313 Reporting Threshold Worksheets (a sample worksheet is given in the *Toxic Chemical Release Inventory Reporting Form R and Instructions* document;
- Engineering calculations and other notes;
- Purchase records from suppliers;
- Inventory data;
- EPA (NPDES) permits and monitoring reports;
- EPCRA Section 312, Tier II Reports;
- Monitoring records;
- Flowmeter data;
- RCRA Hazardous Waste Generator's Report;
- Pretreatment reports filed by the facility with the local government;

- Invoices from waste management companies;
- Manufacturer's estimates of treatment efficiencies;
- RCRA Manifests; and
- Process diagrams.

SECTION 3

MAKING THE THRESHOLD DETERMINATION

A separate Toxic Chemical Release Inventory Reporting Form must be submitted for each listed chemical that is "manufactured," "processed," or "otherwise used" above an activity threshold at your facility, assuming the SIC code and employee criteria are met. Current EPCRA Section 313 guidance for chemical distribution facilities is shown in Table 3-1:

Table 3-1. Examples of Manufactured, Processed, and Otherwise Used Chemicals at Chemical Distribution Facilities *

Manufactured Chemicals	
Activity	Examples
Produced or imported for on-site use/processing	May not occur in the chemical distribution industry group.
Produced or imported for sale/distribution	Any Section 313 chemical that is imported by a chemical distribution facility for sale and distribution.
Produced as a by-product	Generation of aerosol forms of hydrochloric acid as a result of repackaging or reformulation activities.
Produced as an impurity	May not occur in the chemical distribution industry group.
Processed Chemicals	
The formulation or blending of EPCRA Section 313 reportable chemicals into products further distributed in commerce is subject to the processing activity threshold.	
The repackaging/transferring of listed EPCRA Section 313 reportable chemicals constitute the processing of that chemical. The relabeling and redistribution of Section 313 chemicals where no repackaging of the Section 313 chemical has occurred would not be subject to reporting.	

The recovery of a listed Section 313 chemical from a mixture for further distribution is considered **processing** of that chemical. Solvent recovery and other reclamation of Section 313 chemicals manufactured as a byproduct or otherwise used by the facility, that result in a product (e.g., lacquer thinners and sprayer gun washer fluids) that are further distributed beyond the facility should be considered in the threshold determination for processing activities.

Activity	Examples
As a formulation component	The blending of chemicals (many of which may be EPCRA section 313 listed toxic chemicals) to formulate, for example, lacquer thinner for autobody shops.
Repackaging	The repackaging of organic chemicals (liquids), such as xylene, into various size containers for resale to customers.
As a reactant	May not occur in the chemical distribution industry group.
As an article component	May not occur in the chemical distribution industry group.

Otherwise Used Chemicals

The use of EPCRA Section 313 chemicals in support activities such as equipment cleaning and maintenance activities (e.g., line flushing) is subject to the **otherwise use** activity threshold.

Activity	Examples
As a chemical processing aid	May not occur in the chemical distribution industry group in amounts exceeding de minimis or threshold use.
As a manufacturing aid	Ammonia or CFCs, such as R-12 and R-13, are commonly used as refrigerants. Refrigerators are necessary for the storage of certain chemicals, such as flammable materials.

Ancillary or other use	<p>Line flushing is performed using water or the chemical (which maybe a listed TRI toxic chemical) that is next in line to be processed.</p> <p>Cleaners, lubricants, or degreasers used in the maintenance of mixers, stationary cranes, and other processing equipment.</p>
------------------------	--

* More complete discussions of the industry-specific examples can be found in Section 5 of this guidance manual.

CONDUCTING THE THRESHOLD DETERMINATION

An activity threshold determination must be made individually for each Section 313 chemical by each activity in which the chemical is manufactured, processed, or otherwise used at your facility. The threshold determination is one criterion used to ascertain whether a Form R or Form A is required to be submitted.

STEP ONE

Identify Section 313 chemicals that are manufactured, processed or otherwise used.

The primary function of a chemical distribution facility is the warehousing, formulation and repackaging of a variety of bulk chemicals prior to their distribution to a variety of destinations including retailers, other wholesale facilities, and in some cases to manufacturing facilities for industrial use or for product formulation. Most activities performed by chemical distribution facilities may involve Section 313 chemicals that are “processed” (such as repackaging or formulations activities), or “otherwise used” (such as equipment maintenance activities).

To perform a comprehensive and accurate threshold determination, the facility must first determine what Section 313 chemicals (such as formaldehyde, sodium cyanide, and methyl ethyl ketone) are “processed” or “otherwise used” at the facility during the year, and the amount for each of those chemicals. The amount of chemical “processed” is the amount of chemical that the facility intends to incorporate into its product. It is not just the amount that actually makes it into the product but includes the associated releases and wastes generated. For threshold determinations for Section 313 metal compound categories, the entire weight of the metal compound must be applied towards the threshold, not just the weight of the metal itself.

Any chemical purchased by facilities for use as processing or manufacturing aids or for treating waste are considered "otherwise used". In addition, EPCRA Section 313 chemicals in materials purchased to be used as fuel or for maintaining equipment operations, other than for maintaining motor vehicles, should be included in the threshold determination for "otherwise use"

activities. Any EPCRA Section 313 chemicals in materials purchased to be used in the waste management processes should also be included in the threshold determination for "otherwise use" activities.

STEP TWO

Identify "processing" and "otherwise use" activities that are subject to exemptions. Exclude chemicals associated with these activities from your threshold determination.

When performing your threshold determinations, it is important to remember that exemptions apply to certain facility-related activities. These exemptions were discussed in Section 2 of this guidance document and may apply only to certain "manufacturing," "processing," or "otherwise use" activities. For the purposes of an activity threshold analysis, the following areas should be examined closely to determine whether the TRI Section 313 chemicals subject to certain activities should be included in the activity threshold and reporting calculations:

- **Laboratories:** Sampling and analysis, research and development (R&D), and QA/QC activities undertaken in laboratories are exempt if conducted under the supervision of a technically qualified individual. Pilot plants and support services, such as photo processing, waste water treatment, and instrument sterilization are not exempt. Wastes generated during sampling and analysis, R&D, and QA/QC activities in an on-site laboratory are exempt.
- **Motor vehicles:** Use of products containing Section 313 chemicals for the purpose of most motor vehicle maintenance activities are exempt, as well as fuel used in those vehicles.
- **Routine janitorial or facility grounds maintenance:** The routine maintenance exemption is intended to cover janitorial or other custodial or plant grounds maintenance activities using such substances as bathroom cleaners, or fertilizers and pesticides used to maintain lawns, in the same form and concentration commonly distributed to consumers. Equipment maintenance such as the use of oil or grease is not exempt.
- **Structural component of the facility:** This exemption covers Section 313 chemicals that are incorporated into the structural components of the facility (e.g., metal in pipes) or that are used to ensure or improve the structural integrity of a structure (e.g., paint). The facility is

- not required to report the releases of Section 313 chemicals that result from "passive" degradation (degradation or corrosion that occurs naturally in structural components of facilities).
- **Materials as they are drawn from the environment or municipal sources-** Chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion) are exempt from the activity thresholds. However, EPCRA Section 313 chemicals manufactured from use of the air or water are not exempt and must be considered for the threshold determination.

In making threshold determinations, it is important that you keep in mind that a *de minimis* exemption applies only to Section 313 chemicals in mixtures or trade name products manufactured as impurities or processed or otherwise use in mixtures or trade name products. This exemption does not apply to chemicals that are manufactured as byproducts nor does it apply to chemicals in wastes that are processed or otherwise used.

Section 313 chemicals present at less than 1 percent (10,000 ppm) for chemicals that do not meet the OSHA carcinogen standard or less than 0.1 percent (1,000 ppm) for chemicals that do meet the OSHA carcinogen standard do not have to be considered when making your threshold determinations for processing or otherwise use. Appendix A to this document

contains the list of Section 313 chemicals subject to reporting, along with the *de minimis* concentration associated with the chemical. The list of Section 313 chemicals in the publication *Toxic Chemical Release Inventory Reporting Form R and Instructions* for the current reporting year should also be checked to determine whether the list of chemicals has been updated (e.g., changes in listed chemicals and chemical categories, and *de minimis* levels).

Finally, some waste treatment activities may involve the conversion or reaction of chemicals to produce a new Section 313 chemical, such as occurs with chemical oxidation or chemical precipitation. When the new Section 313 chemical is produced, it is considered "manufactured" under EPCRA Section 313 and subject to the 25,000 pound threshold.

STEP THREE

Determine whether TRI chemicals are present in mixtures or trade name products that are processed or otherwise used below the *de minimis* concentration threshold and eliminate from further consideration in your processing and otherwise use threshold determination those chemicals below *de minimis*, unless those chemicals are later concentrated. Also determine whether chemicals are present as impurities below the *de minimis* concentration threshold in manufactured products and eliminate from further consideration in your manufacturing threshold determination those chemicals below *de minimis*.

Threshold determinations are made based on the best available information in your possession. However, if a facility is aware that a chemical is probably present in a mixture but has no information on its concentration in the mixture, then they are not required to consider that chemical in its threshold determinations. Though, in general, the following methods should be employed to determine the appropriate concentrations to use in threshold determinations:

- If the extract concentration is known (e.g., 33.0% toluene), use it.
- If only the upper bound is known (e.g., <5% toluene), use it (e.g., 5% toluene).
- If the concentration is known (e.g., 10-30% toluene), then use the midpoint (e.g., 20% toluene).
- If only the lower bound is known, assume the upper bound is 100%. Factor out other known constituents (e.g., 10% water and >60% toluene), create a range (e.g., 60-90% toluene) and then use the midpoint (e.g., 75% toluene).

In cases where certain materials that have broad ranges or high upper bounds for multiple constituents (e.g., %x+%y+%z=110% of mixture), the total components of a mixture should not exceed 100%. In these instances, the best available information should be used to estimate the approximate concentration of the chemicals in the material. However, if a facility is aware that a chemical is probably present in a mixture but has no information on its concentration in the mixture, then they are not required to consider that chemical in its threshold determinations.

STEP FOUR

Gather data needed for calculations of threshold determination, including:

- **Inventory Data**
- **Consumption Information**
- **Supplier Notification**
- **Sampling and Analysis Data**
- **MSDS**
- **Analysis of Waste Products**
- **Permits**

STEP FIVE

Calculate the quantity of each chemical manufactured, processed and otherwise used, in pounds, to determine whether the activity threshold has been exceeded. The Form R must be completed for each chemical otherwise used in excess of 10,000 pounds and for each chemical processed in excess of 25,000 pounds, for each chemical manufactured in excess of 25,000 pounds.

SECTION 4

OVERVIEW OF SECTION 313 RELEASE ESTIMATION

This section presents general guidelines for preparing Section 313 release estimates. It begins with a discussion of general ideas on estimating chemical releases. A summary of errors that commonly occur in Section 313 reporting follows.

GENERAL CONCEPTS

Release Estimation

A Form R or Form A must be completed for each Section 313 chemical that meets the applicable activity thresholds. Each form requests facility specific information and identifies the chemical for which thresholds were exceeded. Form A (the abbreviated report) includes a statement that the facility did not exceed specified amounts while, the main components of Form R are environmental release estimates to all media for the reportable chemical during the preceding calendar year. This includes all wastes containing the reportable Section 313 chemical that are sent off-site from the facility for further waste management. Specifically, facility release estimates must be made for the following release sources:

- Releases to air from fugitive or non-point sources (Section 5.1)
- Releases to air from stack or point sources (Section 5.2)
- Releases to water directly discharged to a receiving stream (Section 5.3)
- Releases in wastes that are injected underground (Section 5.4)
- Releases to land on-site (Section 5.5)
- Releases to water discharged to a publicly owned treatment works (POTW) (Section 6.1)
- Wastes transferred off-site for recycling, energy recovery, waste treatment, or disposal (Section 6.2)

Development of accurate and comprehensive release estimates requires consideration of all possible release pathways. The threshold determination provides valuable information when

beginning the release estimation process for a Section 313 chemical: each material containing a Section 313 chemical is identified. For each of these materials, the facility should identify all potential release sources. A useful way to do this is to draw a process flow diagram that traces the material's path through the plant. The process flow diagram should identify each major piece of equipment (including pollution control devices) through which the material passes, from its initial entry into the facility to its final disposition. The diagram should also identify all potential release sources and pollution control equipment for the chemical.

After you have identified all the potential release sources for a chemical, you can estimate releases for each source. Often, the starting point for a release estimate is chemical throughput data, which are typically available from threshold determination calculations.

Given the chemical throughput quantities for a process, you must apply other data and assumptions to complete the estimates. This information includes process-specific data (e.g., scrubber efficiency) and any data developed for other environmentally oriented purposes (e.g., air and wastewater monitoring data, air and water permits and permit applications, RCRA manifest data, monitoring data).

Section 313 does not require any new monitoring to be performed. Facilities should use existing data to calculate release estimates. The accuracy of a release estimate is proportional to the quantity and quality of the data used in its preparation. Situations may arise where estimates based on one set of data contradict estimates based on another. In such cases, the facility should document the rationale for using one data set (or method) versus another. If a facility is aware that a chemical is probably present but has no method to estimate releases or quantities on site, then they are not required to report on that chemical.

Release estimates can be developed by combining all available data with assumptions concerning the fate of each chemical in the process. There are four general methods for developing a release estimate. These methods may be used together or in sequence in developing release estimates.

- **Direct measurement** (basis of estimate code = M; entered in Part II Sections 5 and 6) - These are estimates based on actual monitoring of the concentration of a chemical. The chemical's concentration in the waste stream multiplied by the flow rate or volume of the waste stream and its density yields the mass of the chemical released. Direct measurement is typically used to estimate releases via wastewater, solid waste, and hazardous waste, in part, to ensure compliance with applicable environmental regulations. Although this estimation method should yield the most accurate results, only rarely are sufficient data available for direct measurement data to be applied without also resorting to other techniques (e.g., engineering calculations, mass balance). The frequency of the direct measurements should be

taken into account when determining if monitoring data alone are sufficient for making a reasonable estimate. For example, if a facility has only gathered monitoring data once throughout the year, other methods may provide a more accurate estimate.

Note an indication that reportable chemical is below detection is not equivalent to stating that the chemical is not present. If the reportable Section 313 chemical is known to be present, a concentration equivalent to half the detection limit should be used in subsequent calculations of release estimate quantities (i.e., if the limit of detection is 10 mg/l, release calculations should be performed using a concentration of 5 mg/l). If the reportable Section 313 chemical is not known to be present in the waste, then 0 percent can be assumed.

- **Mass balance** (basis of estimate code = C entered in Part II, Sections 5 and 6) - These are estimates based on a knowledge of the quantity of a chemical entering and leaving a process. An imaginary boundary is first drawn around the process, and all streams entering or leaving the boundary are identified. Assuming the amount of the chemical in the process input streams is known, a facility could calculate the quantity in waste streams by difference. A facility would need to account for any accumulation or depletion of the chemical within the mass balance boundary. The equation for mass balance is:

$$\text{Input} + \text{Generation} = \text{Output} + \text{Amount Reacted} + \text{Accumulation}$$

Using a mass balance to estimate a relatively small release of a chemical with a large throughput can lead to inaccurate, or even negative release estimates. Even a small percentage error in a large throughput could amount to a greater quantity than the release recalculated. When several large values each with their respective errors are used to calculate a small release, propagation of errors occurs which could yield a highly inaccurate value. Other techniques should be considered in these situations.

Mass balance estimates usually require engineering calculations or assumptions to be made (e.g., all usage results in air or water releases). These assumptions should be explicitly stated in the documentation and should be checked for reasonableness.

- **Emission factors** (basis of estimate code = E entered in Part II, Sections 5 and 6) - Release information derived from facilities or processes similar to yours can be used to estimate releases. Emission factors come in two forms. The first expresses releases as a ratio of the amount of chemical released to facility throughput or production (e.g., 0.5 pound of Chemical X released per every pound of Material Y used). The second provides a typical concentration of a chemical in a waste stream (e.g., 0.1 mg/L of Chemical Z in wastewater from scrubbers).

These factors, combined with process throughput or waste stream flow data, can be used as a basis for the release estimate. Many emission factors are available in *Compilation of Air Pollutant Emission Factors* (AP-42). AP-42 can be accessed via the Internet at <http://134.67.104.12/html/chief/chief.htm#CH13>. The basis of estimate code "E" can only be used for published Section 313 chemical-specific emission factors.

The reliability of emission factors depends on the quality and quantity of data used in their derivation, plus the similarity of the process to which they are applied and the quality of raw materials for the process.

- **Engineering calculations and assumptions** (basis of estimate code = O entered in Part II, Sections 5 and 6) - Estimates that do not fall into any of the above categories are considered engineering calculations. Typically, these estimates are based on standard engineering principles using properties of the chemicals involved, process data, or process knowledge. Example chemical properties include vapor pressure, solubility in water, and density. Example process parameters include temperature, pressure, and material flow rate. Other examples of engineering calculations would be the use of general equipment emissions factors or non-published, facility-developed emissions factors.

Reasonable Estimates: Significant Figures and Use of Range Codes

EPA recommends that two significant figures be used when reporting release and off-site transfer quantities in Part II, Sections 5 and 6 of Form R. Use of two significant figures may prevent errors from being reported on Form R, because a small calculating error may not impact the final reported quantity if the quantity is rounded to two significant figures. If you have reason to believe that your best estimate of a release quantity is particularly imprecise, you could use one significant figure or one of the range codes in reporting releases in Part II, Sections 5 and 6 of the Form R, if applicable:

Range Code A = 1 to 10 pounds

Range Code B = 11 to 499 pounds

Range Code C = 500 to 999 pounds

"NA" versus "0"

If you have no releases of a Section 313 chemical to a particular medium, report either "NA," not applicable, or "0," as appropriate. Report "NA" only when there is no possibility a release could have occurred to a specific medium or off-site location. If a release to a specific medium or off-site location could have occurred, but either did not occur or the annual aggregate release was less than 0.5 pounds, report zero. However, if you report zero releases, a basis of estimate must be provided. If use of the Section 313 chemical began in the reporting year, enter "NA" as the production ratio or activity index (Part II, Section 8.9 of the Form R).

For example, if nitric acid is involved in the facility's processing activities but the facility neutralizes the wastes to a pH of 6 or above, then the facility reports a "0" release for the Section 313 chemical. If the facility has no underground injection well, "NA" would be written in Part I, Section 4.10 and checked in Part II, Section 5.4.1 and 5.4.2 of Form R. Also, if the facility did not use the Section 313 chemical in the previous year, the facility would have no basis to develop a production ratio or activity index, "NA" would be checked in Part II, Section 8.9 of Form R.

REPORTING RELEASES IN FORM R, PART II

The following sections discuss the types of release reporting required on the Form R. Releases must be partitioned into land and air releases and should not be inadvertently "double counted." For example, a single wastewater discharge should not be listed as both a release to water (on-site) and a discharge to a POTW (off-site), nor should a release to land be listed as a release to both land (on-site) and a transfer to an off-site landfill. Also, subsequent releases from land (such as a leak from an impoundment) to groundwater is included as a land release in the year the leakage occurred. No reporting is required past the year in which it occurred. Even if it leaches out to ground water in the next year.

It is important to note that historical releases are not included in release reporting. For example, contamination around an underground storage tank (UST) is discovered, but there is no active leak from the tank. If you know that the contamination occurred during the reporting year (RY), then report the leak as a release to land. However, if the leak did not occur during the RY, it should not be included in release reporting.

Finally, the amount of leaks or spills onto pads or containment areas should not automatically be reported as released to land. The amount should be considered as treated or disposed depending on type of disposal activity. After releases to air, amounts cleaned up and disposed of off-site,

amounts recycled, and amounts released to water are considered, then the amount remaining on the pad is considered to be released to land. Amounts spilled into containment that are directly reused within the same reporting year without requiring treatment prior to reuse are not subject to release reporting.

Fugitive or Non-Point Emissions (Part II, Section 5.1 of Form R)

Fugitive emissions can occur from almost any part of a facility's operation. Potential sources include the following:

- Normal leakage of valves, pump seals, flanges, connectors, and other devices
- Sampling, Packaging, Loading, and unloading of chemicals
- Cleaning and maintenance activities such as blowing out pipes
- Containers of raw materials, intermediates, or wastes
- Storage piles and spills
- Evaporation from cooling towers, ponds, surface impoundments, and on-site wastewater management systems (including on-site sewers)
- Drum residues

Where actual monitoring or measurement data are not available, data sources and calculation methods that could be employed in estimating fugitive emissions include the following:

- Industrial Hygiene monitoring data
- AP-42 emissions factors (listed in Tables 4-1 and 4-2)
- SOCM emission factors (listed in Table 4-3)
- Facility-specific emission factors
- Mass balance (for volatile solvents)
- EPA models such as WATER8 for wastewater management systems
- Data from a leak detection and repair (LDAR) program
- Engineering calculations

CHEMDAT8

Analytical models have been developed to estimate emissions of organic compounds via various pathways from wastewater and waste management units. Some of these models have been assembled into a spreadsheet called CHEMDAT8 for use on a PC. A user's guide for CHEMDAT8 is also available. Area emission sources for which models are included in the spreadsheet are as follows: nonaerated impoundments, which include surface impoundments and open top wastewater treatment tanks; aerated impoundments, which include aerated surface impoundments and aerated WWT tanks; disposal impoundments, which include nonaerated disposal impoundments; land treatment; and landfills. These models can be used to estimate the magnitude of site air emissions for regulatory purposes. The CHEMDAT8 program and manual can be downloaded from the world wide web at <http://www.epa.gov/ttnchie1/ees.html#water8>

- CHEMDAT8 and TANKS

Table 4-1. SOCM I AVERAGE EMISSION FACTORS*

Equipment type	Service	Emission factors ^a (lbs/hr/source)
Valves	Gas	0.0131
	Light liquid	0.00887
	Heavy liquid	0.00051
Pump seals ^b	Light liquid	0.0438
	Heavy liquid	0.0190
Compressor seals	Gas	0.502
Pressure relief valves	Gas	0.229
Connectors	All	0.00403
Open-ended lines	All	0.0037
Sampling connections	All	0.033

*Protocol for Equipment Leak Emission Estimates (EPA, EPA-453/R-95-017)

a These factors are for total organic compound emissions

b The light liquid pump seal factor can be used to estimate the leak rate from agitator seals

Example Fugitive Emission Calculation Using SOCM I Emission Factors

A process has 100 flanges (connectors) which are in contact with a mixture containing 50% benzene (by weight) during 8000 hours of the year. What are the fugitive emissions of benzene from this process?

Emission = emission factor x # of valves x concentration of chemical x # of hours

Emission = 0.00403 lb/hr/source x 100 sources x 50% benzene x 8000 hours

Emission = 1612 pounds of benzene

Stack or Point-Source Air Emissions (Part II, Section 5.2 of Form R)

Point-source air emissions can occur from numerous pieces of process equipment throughout a facility. Potential sources include the following:

- Air pollution control devices such as scrubbers, condensers, baghouses
- Storage tanks, process tanks, and waste tanks
- Process vessels such as reactors and distillation columns

Where actual monitoring or measurement data are not available, data sources and calculation methods that could be employed in estimating stack or point source emissions include the following:

- Air emission inventories
- Air permit applications
- Process and production data
- Emission factors from EPA and commercial models
- Engineering calculations

Typical releases from tanks at chemical distributor facilities will include breathing losses to air and working losses to air. Air emissions from tanks depend primarily on the quantity of materials handled, the chemical composition of the materials, and the number, size, and type of tanks. Several options are available for

Acid Aerosols Generated in Storage Tanks

Sulfuric and hydrochloric acid aerosols are generated in the empty space (head space) above sulfuric and hydrochloric acid solutions contained in storage tanks. The amount of acid aerosol to be applied towards the "manufacture" threshold is the average amount that existed in the head space during the year. Each facility should determine the average conditions for their storage tank (i.e., capacity, average amount stored, average head space, acid concentration, temperature, etc.). If the storage tank is refilled and drawn down several times during the year, calculations should be based on all of the acid stored in the tank. For example, if a 10,000 pound capacity tank is refilled and drawn down six times during the year (such that 60,000 pounds of acid were stored in the tank during the year) then the tank calculations, based on the average condition for one 10,000 pound tank of TANKS should be multiplied by 6.

The TANKS program is designed to estimate emissions of organic chemicals from several types of storage tanks. The calculations are performed according to EPA's AP-42, Chapter 12. After the user provides specific information concerning a storage tank and its liquid contents, the system produces a report which estimates the chemical emissions for the tank on an annual or partial year basis. The user can also determine individual component losses by using one of the specification options available in the program.

The TANKS program relies on a chemical database of over 100 organic liquids and a meteorological database which includes over 250 cities in the United States; users may add new chemicals and cities to these databases by providing specific information through system utilities. On-line help provides documentation and user assistance for each screen of the program. The TANKS program and manual can be downloaded from the Internet at <http://134.67.104.12/html/chief/tank-dn.htm>.

calculation of releases from storage tanks. Numerous equations and emission factors are available in the EPA publication, *Compilation of Air Pollutant Emission Factors* (AP-42). For example, Section 4.3 of AP-42 presents equations used for estimating VOC emissions from wastewater collection, treatment, and storage systems. Many of these equations have been incorporated into computer models such as TANKS (See box on TANKS for more information).

Another source of information for chemical distribution facilities to use in calculating air emissions of reportable Section 313 chemicals is the calculations the facilities uses to calculate total volatile organic compounds (VOC) to ensure compliance with 40 CFR Parts 264/265 Subpart CC (Air Emissions Standards for Tanks, Containers, and Surface Impoundments) or other air emission regulations. Because those regulations focus on total VOC content, the VOC emission rates would need to be speciated in order to use this information for TRI reporting. Furthermore, TRI requires estimates of actual emissions of a given reportable Section 313 chemical, which could be substantially different (e.g., lower) than permitted quantities. However, those calculations typically should be based on production measures, which could be adjusted to reflect actual production data for the reporting year.

Wastewater Discharges (Part II, Section 5.3 and 6.1 of Form R)

Discharges to a stream or water body are reported in Part II, Section 5.3 of Form R, while transfers to Publicly Owned Treatment Works (POTWs) are reported in Part II, Section 6.1 of Form R. Because the release estimation approach is similar for both types of wastewater discharges, they are discussed here together.

A facility that discharges or has the potential to discharge water containing regulated wastes must operate under the terms of Federal, State, and/or local permits, such as a NPDES direct discharge permit, or a POTW indirect discharge agreement. The permit(s) or agreement usually require measurements of the water volume and monitoring and analyses of some generalized wastewater parameters including concentrations of various constituents. In some cases, the constituent analyses required for permit compliance includes Section 313

WATER8

A computer program, WATER8, is available for estimating the fate of organic compounds in various wastewater treatment units, including collection systems, aerated basins, and other units. WATER8 is written to run under DOS without the need to purchase other programs. WATER8 contains useful features such as the ability to link treatment units to form a treatment system, the ability for recycle among units, and the ability to generate and save site-specific compound properties. The WATER8 program and users manual can be downloaded from the world wide web at <http://134.67.104.12/html/chief/dat7-dn.htm>.

chemicals. In these instances, releases can be calculated by multiplying the volume of wastewater

released by the concentration of the chemical released. Releases discharged to a POTW should be reported as off-site transfers on Part II, Section 6.1 of Form R.

Based on the concentration and wastewater flow data available, an estimate of discharges to water can be calculated. Facilities should calculate the daily average discharges of a reportable Section 313 chemical in pounds and must use those estimates to determine the annual discharge in pounds per year. Using the daily concentration data available for the reportable chemical combined with the wastewater flow data for each of the sampling dates, calculate an estimate of pounds per day for each sampling date. After the calculations are made for each monitoring point (e.g., daily, monthly), the pounds discharged are averaged to determine an average daily discharge amount which would be multiplied by the number of days discharges were possible (e.g., 365 days a year).

Example Calculation of Yearly Wastewater Discharge

A facility has monitoring data on discharges to water of xylene, a listed Section 313 chemical, and a Form R report is required. In this example, monitoring data on this chemical are only available for two days in the year. The daily quantities of pounds of xylene released for those two dates would then be divided by the number of sample dates to determine the daily average for the whole reporting year, which would be used to estimate the annual discharge of xylene in wastewater:

Date	Concentration (mg/l)	Flow (MGD)	Daily Discharge
3/1/96	1.0	1.0	8.33 lbs.
9/8/96	0.2	0.2	0.332 lbs.

Annual Calculation:

$$8.33 \text{ lbs.} + 0.332 \text{ lbs.} / 2 \text{ days} \times 365 \text{ days/year} = 1580.82 \text{ lbs/yr}$$

If no monitoring data exist, NPDES permit applications or POTW agreements may provide information useful to estimating releases. Otherwise, process knowledge (or in some cases, mass balance) needs to be utilized to develop an estimate.

Discharges of listed acids may be reported as zero if all discharges have been neutralized to pH 6 or above. If wastewater containing a listed acid is discharged below pH 6, then releases of the acid must be calculated and reported. For more information on calculating such discharges of acids, see EPA's *Estimating Releases of Mineral Acid Discharges Using pH Measurements* (EPA745/F-97-003, June 1991).

Underground Injection On-Site (Part II, Section 5.4 of Form R)

A facility that has an underground injection well for waste disposal is regulated by Safe Drinking Water Act (SDWA) permits. The permit(s) usually require measurements of the waste volume and analyses of some generalized waste parameters including concentrations of various constituents. When the constituents for which the permit requires analyses include reportable Section 313 chemicals, releases via underground injection can be calculated by multiplying the volume of waste injected by the concentration of the chemical in the waste. Facilities must report amounts of Section 313 chemicals injected into Class I wells (Part II, Section 5.4.1 of Form R) and amounts injected into Class II-V (Part II, Section 5.4.2 of Form R).

Release to Land On-Site (Part II, Section 5.5 of Form R)

In most circumstances involving the disposal of many Section 313 chemicals, land disposal is regulated by RCRA and state regulations. In part II, Section 5.5, TRI is concerned with the total amount of the specified reportable Section 313 chemical released to land, regardless of the potential for the chemical to leach from the disposed waste.

On-site disposal includes disposal in an on-site RCRA Subtitle C landfill (Part II, Section 5.5.1A of Form R), disposal in other on-site landfills (Part II, Section 5.5.1B of Form R), disposal in a land treatment/application farming unit (Part II, Section 5.5.2 of Form R), and disposal in a surface impoundment (Part II, Section 5.5.3 of Form R). Data concerning these

Estimating Releases for Accidental Losses

Leaks, spills, and drips from the loading and transfer of chemicals received at the facility should be considered and reported in your release estimates. Data concerning specific incidents (such as notification reports or incident logs) should be included in release estimates. Equations found in Section 6 of EPA's *Estimating Releases and Waste Treatment Efficiencies for the Toxic Chemical Release Inventory Form* (EPA 560/4-88-002, December 1987), provides guidance on calculating releases from chemical spills or leaks, including liquid discharges, fraction of discharge flashed, vaporization, two-phase discharges, and gas discharges.

EXAMPLE: A forklift operator hits a 50-gallon drum of toluene and a spill occurs. It is estimated that 75% of the toluene was released as a liquid and pooled on the ground. In addition, it is estimated that 25% of the toluene vaporized directly to the air from the rupture. How would these releases be reported on the Form R? Assume a reported threshold for toluene has been exceeded, the density of toluene is 7.2 pounds per gallon, and the vapor pressure is 21.1 mm Hg at 68° F.

$Quantity\ spilled = 50\ gal \times 7.2\ lbs./gal = 360\ lbs.$

$Amount\ sent\ off\ site = 360 \times 75\% = 270\ lbs.$

$Amount\ vaporized = 360 \times 25\% = 90\ lbs.$

Air emissions of toluene are expected due to the high vapor pressure. Therefore, the total amount reported in Section 8.8 is the 270 pounds that pooled on the ground (and was subsequently sent off-site), plus the 90 pounds that vaporized in to the air, a total of 360 pounds. The quantity that is sent off-site must also be reported in Section 6 (but not in Section 8.7) and the quantity that vaporized must be reported as a fugitive emission in Section 5 (but not in Section 8.1). The code for final disposition should be used in Section 6..

types of “intentional” on-site disposal are usually readily available because facilities are required to monitor the quantity of waste and will have a waste profile that describes typical concentration ranges for waste constituents. In some cases, concentrations of constituents in the waste have been measured. If on-site waste treatment occurs prior to on-site land disposal, the treatment efficiency and a mass balance can be conducted to determine the quantity of a chemical that is land disposed. For example, a facility can determine the amount of the chemical present in the untreated waste, determine the efficiency of treatment in removing or destroying the chemical in the waste, account for other releases (i.e., fugitive emissions, leaks, spills, accidental releases, losses to air pollution control devices, etc.), and determine that the remainder is the quantity of the chemical land disposed.

Releases to land on-site/other disposal (Part II, Section 5.5.4 of Form R) include the amount of chemical released to land on site not covered by any of the above categories and include spills, leaks, or “unintentional” disposal, such as metal dust that is deposited onto soil. Incident logs or spill reports can provide useful information.

Transfers in Wastes to Other Off-site Locations (Part II, Section 6.2 of Form R)

Similar to on-site disposal, data concerning off-site waste transfers are usually readily available because facilities are required to monitor the quantity of waste and either measure concentrations of chemicals or develop a waste profile that describes typical concentration ranges. Under Section 313, off-site transfer estimates are based on the final, known disposition of the reportable Section 313 chemical in the waste sent off-site for further waste management. For example, a reportable Section 313 metal is contained in a waste solvent sent off-site for energy recovery purposes. Even though the waste stream as a whole has a sufficient heat value to warrant energy recovery, metals do not have a significant heat value and, therefore, cannot be combusted for energy recovery. Unless the facility had additional information on the fate of the reportable Section 313 metal in this waste, the facility must assume the metal is being disposed and should report the quantity sent off-site accordingly in Part II, Sections 6.2 and 8.1 of Form R.

Even wastes that were minimally processed, such as wastes that were repackaged, such as small containers removed from a lab pack that were not otherwise opened or managed, may need to be reported if the article exemption (as discussed in Section 2) is not applicable.

On-site Waste Treatment Methods and Efficiency (Part II, Section 7A of Form R)

In Section 7A, the following information must be reported:

- General waste stream types containing the Section 313 chemical being reported;

- Waste treatment methods or sequence used on all waste streams containing the Section 313 chemical;
- Range of concentration of the Section 313 chemical in the influent at the first step in a waste treatment system;
- Efficiency of the waste treatment method or sequence in destroying or removing the Section 313 chemical; and
- Indication of whether the efficiency estimate was based on actual operating data.

Report any waste treatment step through which the reportable Section 313 chemical passes regardless of treatment efficiency. Report all non-identical parallel steps and all sequential steps.

Waste treatment for the purpose of Section 7A is defined as removal of the Section 313 chemical from the waste through destruction, biological degradation, chemical conversion, or physical removal. Note that this definition of waste treatment is broader than the definition used in Part II, Section 8 of Form R (discussed later). Section 7A treatment efficiency is calculated as follows:

$$\text{percent efficiency} = \frac{\text{input} - \text{output}}{\text{input}} \times 100\%$$

If your facility has a measurement of the pollutant concentration of input and output at the treatment unit, these data should be used to calculate the treatment efficiency. If these measurements are not available, data from literature or the equipment manufacturer can be used for estimation purposes. Equipment manufacturer data on treatment efficiencies often represent ideal operating conditions with an ideal waste matrix. Thus, you may want to adjust such data to account for downtime, process upsets, and other less than optimum conditions during the year that would result in lower efficiencies.

Estimates of treatment efficiencies by process for EPCRA Section 313 chemicals are available from the ATTIC database via modem from ATTIC by calling data number (513) 569-7610. Additional information can be obtained by calling the ATTIC Hotline at (513) 569-7272.

On-site Energy Recovery Processes (Part II, Section 7B of Form R)

In Section 7B, methods used to combust the Section 313 chemical in wastes for energy recovery are reported. Two conditions need to be met to report the combustion of a Section 313 chemical as energy recovery: (1) the chemical must have a heat of combustion high enough to support combustion (e.g., 5,000 BTU per pound or greater), and (2) must be combusted in a unit equipped with an energy recovery device, such as a waste heat boiler.

On-site Recycling Processes (Part II, Section 7C of Form R)

In Section 7C, methods used to recycle the Section 313 chemical in wastes are reported.

Source Reduction and Recycling Activities (Part II, Section 8 of Form R)

The following discussion for Sections 8.1 through 8.7 applies to the current reporting year (i.e., column B of Section 8 of the Form R).

Quantity Released (Part II, Section 8.1 of Form R)

The quantity reported in Section 8.1 is the quantity reported in all of Section 5 plus the quantity reported as sent off-site for disposal in Section 6.2 minus the quantity reported in Section 8.8 that was released or transferred off site for disposal:

$$\S 8.1 = \S 5 + \S 6.2 \text{ (disposal only)} - \S 8.8 \text{ (release or off-site disposal only)}$$

Section 6.2 disposal codes are M10, M71, M72, M73, M79, M90, M94, and M99. In addition, EPCRA Section 313 listed metals in waste streams sent off-site to POTWs or other off-site locations for treatment for destruction should be reported in Section 8.1, unless the facility has knowledge that the metal is being recovered.

Quantity Used for Energy Recovery On-site (Part II, Section 8.2 of Form R)

Estimate a quantity of the Section 313 chemical in wastes combusted for energy recovery on-site. This estimate should be the quantity of the chemical combusted in the process for which codes were reported in Section 7B. Test data from trial burns or other monitoring data may be used to estimate the quantity of the Section 313 chemical combusted for energy recovery purposes. If monitoring data are not available, vendor specifications regarding combustion efficiency may be used as they relate to the reportable Section 313 chemical. A quantity must be reported in Section 8.2 when a method of on-site energy recovery is reported in Section 7B and vice versa. Two conditions need to be met to report the combustion of a Section 313 chemical as energy recovery: the chemical (1) must have a heat of combustion high enough to support combustion (e.g., 5,000 BTU or greater), and (2) must be combusted in a unit equipped with an energy recovery device, such as a waste heat boiler. Note that "NA" should be reported for Section 313 chemicals which are Halons (e.g., CFCs) and metals that do not have a heat of combustion sufficient to sustain combustion.

Quantity Used for Energy Recovery Off-site (Part II, Section 8.3 of Form R)

The quantity reported in Section 8.3 is the quantity reported in Section 6.2 for which energy recovery codes are reported. Section 6.2 energy recovery codes are M56 and M92. If a quantity is reported in Section 8.8, subtract any associated off-site transfers for energy recovery:

$$\S 8.3 = \S 6.2 \text{ (energy recovery)} - \S 8.8 \text{ (off-site energy recovery)}$$

Quantity Recycled On-site (Part II, Section 8.4 of Form R)

Estimate a quantity of the Section 313 chemical recycled in wastes on-site. This estimate should be the quantity of the chemical recycled in the process for which codes were reported in Section 7C. A quantity should be reported in Section 8.4 when a method of on-site recycling is reported in Section 7C and vice versa. To estimate this quantity, you should determine if operating data exist which indicate a recovery efficiency and use that efficiency value combined with throughput data to calculate an estimate. If operating data are unavailable, use available vendor specifications.

Quantity Recycled Off-site (Part II, Section 8.5 of Form R)

The quantity reported in Section 8.5 must be the same as the quantity reported in Section 6.2 for which recycling codes are reported. Section 6.2 recycling codes are M20, M24, M28, and M93. If a quantity is reported in Section 8.8, subtract any associated off-site transfers for recycling:

$$\S 8.5 = \S 6.2 \text{ (recycling)} - \S 8.8 \text{ (off-site recycling)}$$

Quantity Treated On-site (Part II, Section 8.6 of Form R)

Waste treatment in Section 8 is limited to the destruction or chemical conversion of the Section 313 chemical. The quantities reported in Section 8.6 will be those treated in a subset of the processes for which codes were reported in Section 7A, where treatment includes physical removal from a waste stream. To estimate this quantity, you should determine if operating data exist which indicate a treatment (e.g., destruction or chemical conversion of Section 313 chemical) efficiency and use that efficiency value combined with throughput data to calculate an estimate. If operating data are unavailable, use available vendor specifications. Section 7A must be completed if a quantity is entered in Section 8.6.

Quantity Treated Off-site (Part II, Section 8.7 of Form R)

The quantity reported in Section 8.7 must be the sum of the quantities reported in Section 6.2, for which treatment codes are reported, and the quantities reported in Section 6.1, which were sent to a POTW. Section 6.2 waste treatment codes are M40, M50, M54, M61, M69, and M95. If a quantity is reported in Section 8.8, subtract any associated off-site transfers for treatment:

$$\S 8.7 = \S 6.1 + \S 6.2 \text{ (treatment)} - \S 8.8 \text{ (off-site treatment)}.$$

Because metals cannot be destroyed or chemically converted, metals cannot be reported as treated in Section 8. Quantities of metals reported in Section 6.1 and 6.2 as being treated should be reported in Section 8.1 (Quantity released), unless the facility has knowledge that the metal is being recovered.

Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-time Events Not Associated with Production Processes (Part II, Section 8.8 of Form R)

The quantity reported in Section 8.8 is the quantity of the Section 313 chemical released directly into the environment or sent off-site for recycling, waste treatment, energy recovery, or disposal during the reporting year due to any of the following events:

- (1) Remedial actions
- (2) Catastrophic events such as earthquakes, fires, or floods
- (3) One-time events not associated with normal or routine production processes

The quantity reported in Section 8.8 should not be included with quantities reported in Part II Sections 8.1 through 8.7 of Form R, but should be included in Part II, Sections 5 and 6 of Form R as appropriate.

Spills that occur as a routine part of production operations and could be reduced or eliminated by improved handling, loading, or unloading procedures are included in the quantities reported in Section 8.1 through 8.7 as appropriate. Releases and off-site transfers from remediation of a Section 313 chemical or an unpreventable accident unrelated to production (such as a hurricane) that cause a reportable Section 313 chemical to be released are reportable in Section 8.8.

On-site treatment, energy recovery, or recycling of Section 313 chemicals in wastes generated as a result of remedial actions, catastrophic events, or one-time events associated with production processes are not reported in Part II, Section 8.8 nor Sections 8.1 through 8.7 of Form R.

SECTION 5

CALCULATING RELEASE ESTIMATIONS AT CHEMICAL AND ALLIED PRODUCTS - WHOLESALE, NOT ELSEWHERE CLASSIFIED

In Section 4, the tools and techniques available for estimating releases to the environment and reporting disposition of wastes (including transfers off-site, land disposal, underground injection, and wastewater discharges) were discussed. This section provides guidance on how chemical distribution facilities report these releases and waste dispositions on the Form R. Typical operations and the resulting wastes and releases are discussed.

Chemical distribution facilities should evaluate their activities on a site-specific basis. This guidance is a starting point for considering possible releases and evaluating estimation methods. Developing accurate and comprehensive release estimates requires the consideration of all possible release pathways. Figure 5-1 identifies some of those release pathways for a hypothetical site.

Chemical distribution facilities in SIC Code 5169 are involved in the warehousing, storage, and refrigeration of products such as acids, industrial and heavy chemicals, dyestuffs, industrial salts, rosin, and turpentine. These facilities are also engaged in the formulation and repackaging of

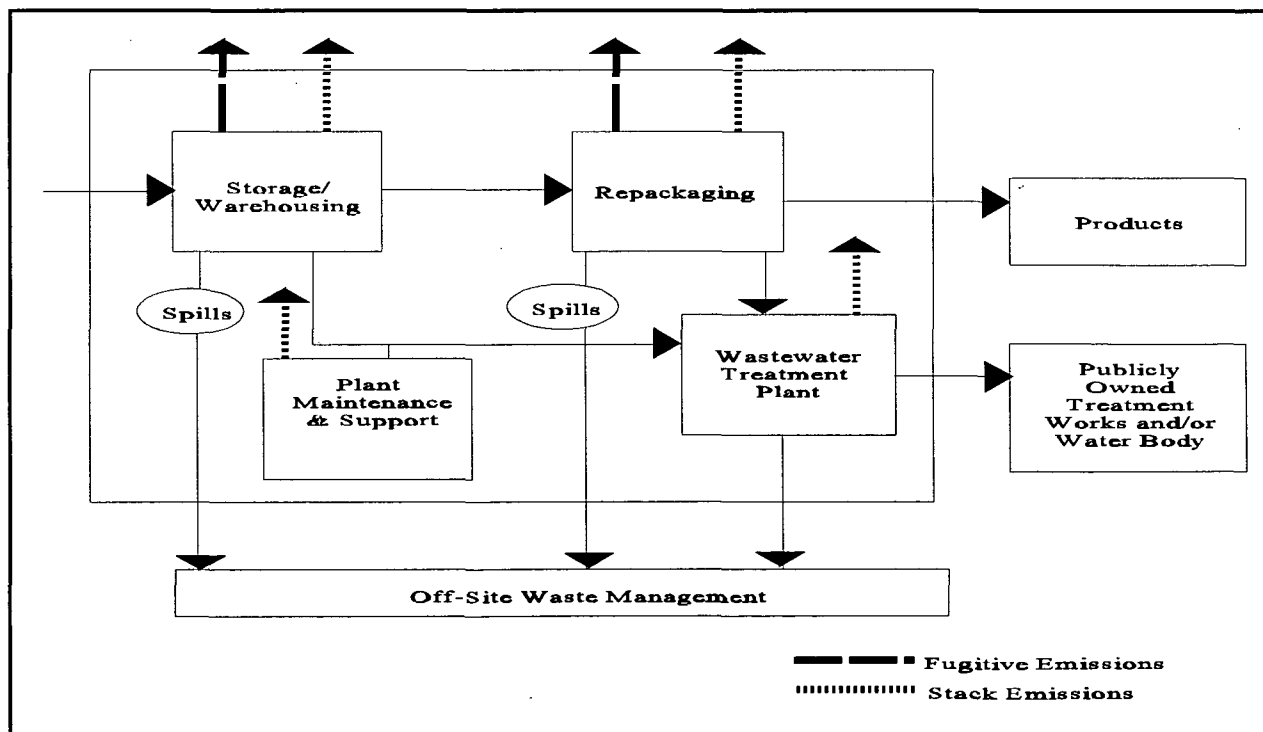


Figure 5-1 Chemical Distributor Operations and Potential Releases

bulk or packaged materials to be transferred offsite. In addition, chemical distribution facilities perform plant maintenance activities and wastewater treatment. All the above activities may result in releases containing Section 313 chemicals.

Warehousing and Storage Activities

Chemical distributors typically use warehouses to store goods for the purpose of consolidating small shipments or to improve the effectiveness of both manufacturing and marketing (e.g., matching supply to demand). Simple warehousing operations consisting of the loading, storage, and off loading of packaged materials, are not considered to have undergone a "manufacturing", "processing", or "otherwise use" activity. However, during the course of warehousing operations some materials (possibly Section 313 chemicals) will become unsalable due to accidents.

Accidents may either occur when containers are moved in and out of the warehouse (e.g., a forklift punctures a storage drum) and from sloppy storage procedures at the warehouse (e.g., a storage bag splits opens from too much weight on top of it), or they may be the result of damages that occurred during transport and are then assessed at the warehouse (e.g., several small bottled chemicals overturned during transport and the spill is realized upon unloading of the truck). A warehouse operator would salvage and repackage as much of the goods as possible and dispose of the remaining goods. As a result of incidents such as these air, land, and water releases can occur.

In addition, Section 313 chemical releases can occur during storage operations. Chemicals may be stored in a variety of containers such as above ground and underground storage tanks, tote bins, drums, sample bottles, supersacs, and bags. The puncture, leak, or rupture of any of these containers could result in a potential release of Section 313 chemicals to the air, water, or soil. The greatest potential for releases during storage is through air emission. Emissions from chemical storage occurs because of evaporative loss of the liquid during its storage and as a result of changes in the liquid level. Emissions from fixed roof tanks are a result of evaporative losses during the storage (known as breathing losses or standing storage losses) and evaporative losses during filling and emptying operations (known as working losses). External and internal floating roof tanks are emission sources because of evaporative losses that occur during standing storage and withdrawal of liquid from the tank. Standing storage losses are a result of evaporative losses through rim seals, deck fittings, and/or deck seams. These amounts would be considered in the facility's release and other waste management estimates provided that the facility has exceeded an "threshold activity" as discussed below.

Some potential releases or waste generation sources associated with warehousing and storage are:

- Releases from the puncturing of liquid containers (fugitive air emissions are most likely to occur with the potential for land and/or water release, §5.1, §5.3, §6.1, and/or §5.4),
- Accidental release of dust and particulate fugitive emissions from the puncturing of solid containers bags (fugitive air emissions, §5.1),
- Working and breathing emissions from storage tanks (point source emissions, §5.2),
- Leak in an underground storage tank (land releases, water releases and/or fugitive air emissions (air emissions are possible if the storage tank is located close to the surface, §5.3, §5.4, §6.1, and/or §5.1),
- Air emission leaks from valves or flanges (fugitive emissions, §5.1),
- Transfer of waste off-site for future waste management (§6.2).

Transfer and Formulation

The loading and off-loading of chemicals from tank trucks, rail cars or barges can provide a significant source of release into the environment via air emissions and drips or spills entrained in rainwater. Typically, a loading line is used for unloading a tank truck into a large tank, and then, at a later time, transferring the chemical into small drums to be sent to customers. Even where the equipment used in chemical transfers is well designed to fit tightly and function automatically, emissions do occur. Air emissions of TRI listed chemicals can occur when opening and connecting tanks (e.g., venting, gaging, filling, and withdrawing and loading operations) and from such sources as hoses, piping, lines, and transfer pumps.

The accumulation of rainwater can occur around diked areas. A facility will often place a dike around drum, semi-bulk, or bulk storage areas, and around all hose connections, pipe manifold, and packaging areas. The accumulation of stormwater runoff can also occur around drum storage areas, yard areas, truck parking areas, truck unloading areas, and product transfer areas (e.g., hose and loading line hook-ups). A facility must report the amount of Section 313 chemicals in stormwater runoff (including unchanneled runoff), if the facility already monitors for these releases. If a facility does not have periodic measurements of stormwater releases, but has submitted chemical-specific monitoring data in permit application, then it must use these data to calculate the percent contribution from stormwater. This information would be reported in Section 5.3 of the Form R. If a facility did not detect any toxic chemical in the storm water or does not monitor for these releases, zero (0) or "N/A" would be reported on the Form, respectively.

Example of Stormwater Runoff

A facility is located in a semi-arid region of the United States which has annual precipitation (including snowfall) of 12 inches of rain. (Snowfall should be converted to the equivalent inches of rain; assume one foot of snow is equivalent to one inch of rain.) The total area covered by the facility is 42 acres (about 170,000 square meters or 1,829,520 square feet) of which 50 percent is unimproved area, 10 percent is asphaltic streets, and 40 percent is concrete pavement.

The total stormwater runoff from the facility is therefore calculated as follows:

<u>Land Use</u>	<u>% Total Area</u>	<u>Runoff Coefficient</u>
Unimproved area	50	0.20
Asphaltic streets	10	0.85
Concrete pavement	40	0.90

$$\text{Weighted runoff coefficient} = (50\%) \times (0.20) + (10\%) \times (0.85) + (40\%) \times (0.90) = 0.545$$

$$(\text{Rainfall}) \times (\text{land area}) \times (\text{conversion factor}) \times (\text{runoff coefficient}) = \text{stormwater runoff}$$

$$(1 \text{ foot}) \times (1,829,520 \text{ ft}^2) \times (7.48 \text{ gal/ft}^3) \times (0.545) = 7,458,220 \text{ gallons/year}$$

$$\text{Total storm water runoff} = 7.46 \text{ million gallons/year}$$

The stormwater monitoring data shows that the average concentration of cumene in the stormwater runoff from a facility is 1.0 milligrams per liter. The total amount of cumene discharged to surface water through the plant wastewater discharge (non-storm water) is 250 pounds per year. The total amount of cumene discharged with stormwater is:

$$(7,458,220 \text{ gallons stormwater}) \times (3.785 \text{ liters/gallon}) = 28,229,360 \text{ liters stormwater}$$

$$(28,229,360 \text{ liters stormwater}) \times (1 \text{ mg. cumene/liter}) \times (1 \times 10^{-6}) = 28.2 \text{ kg cumene} = 62 \text{ pounds cumene.}$$

The total amount of cumene discharged from all sources of your facility is:

250 pounds cumene from wastewater discharged
<u>+62 pounds cumene from stormwater runoff</u>
312 pounds cumene total water discharged

312 pounds of cumene is reported in section 5.3A on Form R

The percentage of cumene discharge through stormwater reported in section 5.3C on Form is:

$$62 \div 312 \times 100 = 20\%$$

Stormwater runoff rate of flow can be estimated by multiplying the annual amount of rain fall by the land area of the facility and then multiplying that figure by the runoff coefficient. The runoff coefficient represents the fraction of rainfall that does not seep into the ground but runs off as stormwater and is directly related to how the land in the drainage area is used. The runoff coefficient can be found in Section 5 of the *TRI Reporting Form R and Instructions* or a facility can calculate a weighted run-off coefficient that will take into account the different types of land uses at a particular facility.

Many chemical distribution facilities are involved in the blending or formulation of different chemicals (some of which may be EPCRA Section 313 chemicals). Blending/formulation can occur in drums in which chemicals are added in a specific order, or by air mixing within drums to formulate the new product. An example is the blending of chemicals (many of them Section 313 listed chemicals) for the formulation of lacquer thinner used at autobody shops. The potential for fugitive emissions is particularly great in a case where a drum is opened and closed several times allowing volatile gases to escape into the surrounding environment. Some potential releases or waste generation sources associated with repackaging or reformulation/blending are:

- Air emissions leaks from pump seals and/or compressors used in the transfer of compounds (fugitive emissions, §5.1)
- Air emissions occurring through pipes, stacks, or ducts during formulation (stack or point source emissions, §5.2)
- Hose rupture during the loading and/or unloading of a tank truck (fugitive air emissions, land and/or water releases, §5.1, §5.3, §6.1 and/or §5.4, plus the amount of waste that may be sent off-site, §6.2)
- Spillage during the formulation or packaging of a chemical (fugitive air emissions, land and/or water releases, §5.1, §5.3, §6.1 and/or §5.4)
- Stormwater accumulations around drum storage areas (§5.3 or §6.1)

Plant Maintenance and Support Activities

Potential Section 313 Chemicals Involved in Plant Maintenance	Source
Ammonia, CFC-12, CFC-13	Air conditioning and refrigerant
Formaldehyde	Loading line flushing
Chloroform	Drum or tank rinsing

Wastewater is produced from the process of loading line cleaning. A facility may route a number of different chemicals through a single loading line over time. In order to ensure the purity of the different chemicals and prevent contamination of products, the entire line is flushed every time a different chemical is processed. The line may be flushed with water, or to ensure the line is completely rinsed clean, with the chemical (possibly a Section 313 chemical) that is to be processed next. The use of a Section 313 chemical in line flushing applies to the otherwise use threshold of 10,000 pounds. The flushed out material, containing wastewater and chemicals (some of which may be Section 313 chemicals) is then handled in one of three following ways:

- (1) The majority of the collected wastewater is collected over time and then sent off-site for recycling, energy recovery, and/or disposal. The amount of waste that is sent off-site for recycling, energy recovery and/or disposal would be reported in Sections 6.2 and in Sections 8.1, 8.3 or 8.5, as appropriate.
- (2) Some facilities perform on-site wastewater treatment. For example, a facility may neutralize the line-flushing wastewater and chemicals that are processed to verify cleanliness of hoses prior to further processing. This information would then be reported in Part II, Section 7A of Form R with the code C11 (Neutralization).
- (3) Some facilities may recycle the flushed out material for reuse in future formulations or blends. The amount of Section 313 chemical that is utilized on-site for future formulations would be reported at a later time when it is "processed" during formulation activities and amounts would then apply to the processing threshold of 25,000 pounds.

In addition to line flushing, facilities perform a number of other tasks to ensure the purity of the different chemicals they process. These activities include drum (or container) washing and tank rinsing. The quantity of any EPCRA Section 313 chemicals that are used for these purposes must apply to the threshold for otherwise use of that chemical and any waste generated from these processes needs to be reported in the respective section of Form R (as explained above).

Most every warehouse uses cranes or forklifts for loading and unloading goods from and to trucks or railcars, as well as moving goods within the facility for inventory control. The use of non-stationary cranes and forklifts qualifies for the motor vehicle exemption under the reporting requirements. However, the use of stationary cranes does not qualify for this exemption. Therefore, the use of Section 313 chemicals found in fuel, engine fluids, oil and lubricants, batteries, cleaning solutions, or solvents in paint used for touch ups on this equipment will apply towards the 10,000 pound otherwise use threshold.

Refrigeration, freezing, and/or air conditioning systems are necessary for the storage of certain chemicals, such as flammable chemicals (e.g., xylene, toluene). During the routine operation of these systems, coolants will need to be replenished. A number of EPCRA Section 313 chemicals are used as coolants or refrigerants, including ammonia (anhydrous), CFC-13, and CFC-12. For the purposes of TRI reporting, the amount of refrigerant that is added during the reporting year, (not the total volume in the system), applies to the otherwise use threshold for that chemical. Also, the amount added to existing equipment would be a good measure of the fugitive emissions of the Section 313 chemical, as long as any liquid spills or flushing of the whole system is accounted for.

Some potential releases or waste generation sources associated with plant maintenance are:

- Evaporation from chemical or waste holding tanks (fugitive air emissions: §5.1(open tanks) and/or §5.2 (storage tanks - breathing losses))
- Line flushing solutions used for energy recovery (§8.2-8.3)
- Line flushing solutions used for recycling (§8.4-8.5)
- Spills or leaks that occur during line flushing (§5.1, §5.3, and/or §5.5)
- Disposal of tank sludge and wash/rinsing solutions sent off-site for further waste management (§6.2)
- Air emissions of refrigerants (fugitive air emissions, §5.1)

APPENDIX A
ALPHABETICAL LISTING OF SECTION 313 CHEMICALS

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

CAS No.	CHEMICAL NAME	De Minimis Conc	Appx VIII	RCRA UTS	RCRA Code
4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0			
354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0			
630-20-6	1,1,1,2-tetrachloroethane	1.0	X	X	U208
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0	X	X	U226
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0			
79-34-5	1,1,2,2-Tetrachloroethane	1.0	X	X	U209
79-00-5	1,1,2-Trichloroethane	1.0	X	X	U227
13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane (HCFC-225cc)	1.0			
812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0			
111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0			
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0			
57-14-7	1,1-Dimethyl hydrazine	0.1	X		U098
5124-30-1	1,1-Methylene bis(4-isocyanatocyclohexane)	1.0			
96-18-4	1,2,3-Trichloropropane	0.1	X	X	
120-82-1	1,2,4-Trichlorobenzene	1.0	X	X	
95-63-6	1,2,4-Trimethylbenzene	1.0			
106-88-7	1,2-Butylene oxide	1.0			
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1	X	X	U066
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1	X	X	U067
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0			
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0			
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0			
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0			
95-50-1	1,2-Dichlorobenzene	1.0	X	X	U070
107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1	X	X	U077
540-59-0	1,2-Dichloroethylene	1.0			
78-87-5	1,2-Dichloropropane	1.0	X	X	U083
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1	X	X	U109
95-54-5	1,2-Phenylenediamine	1.0		X	
615-28-1	1,2-Phenylenediamine dihydrochloride	1.0			
38661-72-2	1,3-Bis(methylisocyanate)cyclohexane	1.0			
106-99-0	1,3-Butadiene	0.1			
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0			
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0			
541-73-1	1,3-Dichlorobenzene	1.0	X	X	U071
542-75-6	1,3-Dichloropropylene	0.1	X		U084
123-61-5	1,3-Phenylene diisocyanate				
108-45-2	1,3-Phenylenediamine	1.0			
10347-54-3	1,4-Bis(methylisocyanate)cyclohexane	1.0			
2556-36-7	1,4-Cyclohexane diisocyanate	1.0			
764-41-0	1,4-Dichloro-2-butene	1.0	X		U074
106-46-7	1,4-Dichlorobenzene	0.1	X	X	U072
123-91-1	1,4-Dioxane	0.1	X	X	U108
104-49-4	1,4-Phenylene diisocyanate				
624-18-0	1,4-Phenylenediamine dihydrochloride	1.0			
3173-72-6	1,5-Naphthalene diisocyanate	1.0			
82-28-0	1-Amino-2-methylanthraquinone	0.1			
35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0			
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0			
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0			
5522-43-0	1-Nitropyrene	1.0			
16938-22-0	2,2,4-Trimethylhexamethylene diisocyanate	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0			
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0			
2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0			
422-48-0	2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0			
78-88-6	2,3-Dichloropropene	1.0			
15646-96-5	2,4,4-Trimethylhexamethylene diisocyanate	1.0			
95-95-4	2,4,5-Trichlorophenol	1.0	X	X	
88-06-2	2,4,6-Trichlorophenol	0.1	X	X	
94-75-7	2,4-D [Acetic acid, (2,4-dichloro-phenoxy)-]	1.0	X	X	U240
53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1			
1928-43-4	2,4-D 2-ethylhexyl ester	0.1			
1929-73-3	2,4-D butoxyethyl ester	0.1			
94-80-4	2,4-D butyl ester	0.1			
2971-38-2	2,4-D chlorocrotyl ester	0.1			
94-11-1	2,4-D isopropyl ester	0.1			
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1			
2702-72-9	2,4-D sodium salt	0.1			
94-82-6	2,4-DB	1.0			
615-05-4	2,4-Diaminoanisole	0.1			
39156-41-7	2,4-Diaminoanisole sulfate	0.1			
95-80-7	2,4-Diaminotoluene	0.1	X		
120-83-2	2,4-Dichlorophenol	1.0	X	X	U081
75790-87-3	2,4'-Diisocyanatodiphenyl sulfide	1.0			
105-67-9	2,4-Dimethylphenol	1.0	X	X	U101
51-28-5	2,4-Dinitrophenol	1.0	X	X	P048
121-14-2	2,4-Dinitrotoluene	1.0	X	X	U105
541-53-7	2,4-Dithiobiuret	1.0	X		P049
120-36-5	2,4-DP	0.1			
576-26-1	2,6-Dimethylphenol	1.0			
606-20-2	2,6-Dinitrotoluene	1.0	X	X	U106
87-62-7	2,6-Xylidine	0.1			
53-96-3	2-Acetylaminofluorene	0.1	X	X	U005
117-79-3	2-Aminoanthraquinone	0.1			
52-51-7	2-Bromo-2-nitropropane-1,3-diol (Bronopol)	1.0			
2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	0.1			
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0			
532-27-4	2-Chloroacetophenone	1.0			
110-80-5	2-Ethoxyethanol	1.0	X		U359
149-30-4	2-Mercaptobenzothiazole (MBT)	1.0			
109-86-4	2-Methoxyethanol	1.0			
75-86-5	2-Methylacetonitrile	1.0	X		P069
109-06-8	2-Methylpyridine	1.0	X		U191
88-75-5	2-Nitrophenol	1.0		X	
79-46-9	2-Nitropropane	0.1	X		U171
90-43-7	2-Phenylphenol	1.0			
422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0			
91-94-1	3,3'-Dichlorobenzidine	0.1	X		U073
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1			
64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1			
119-90-4	3,3'-Dimethoxybenzidine	0.1	X		U091
91-93-0	3,3'-Dimethoxybenzidine-4,4'-diisocyanate				
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1			
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1			
91-97-4	3,3'-Dimethyl-4,4'-diphenylene diisocyanate				

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	X		U095
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1			
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1			
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0			
563-47-3	3-Chloro-2-methyl-1-propene	0.1			
542-76-7	3-Chloropropionitrile	1.0	X		P027
55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0	X	X	
101-80-4	4,4'-Diaminodiphenyl ether	0.1			
4128-73-8	4,4'-Diisocyanatodiphenyl ether	1.0			
80-05-7	4,4'-Isopropylidenediphenol	1.0			
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1	X	X	U158
101-61-1	4,4'-Methylenebis(N,N-dimethyl)benzenamine	0.1			
101-77-9	4,4'-Methylenedianiline	0.1			
139-65-1	4,4'-Thiodianiline	0.1			
534-52-1	4,6-Dinitro-o-cresol	1.0	X	X	P047
60-09-3	4-Aminoazobenzene	0.1			
92-67-1	4-Aminobiphenyl	0.1	X	X	
60-11-7	4-Dimethylaminoazobenzene	0.1	X	X	U093
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate	1.0			
92-93-3	4-Nitrobiphenyl	0.1			
100-02-7	4-Nitrophenol	1.0	X	X	U170
3697-24-3	5-Methylchrysene				
99-59-2	5-Nitro-o-anisidine	1.0			
99-55-8	5-Nitro-o-toluidine	1.0	X	X	U181
57-97-6	7,12-Dimethylbenz(a)anthracene				U094
194-59-2	7H-Dibenzo(c,g)carbazole				
71751-41-2	Abamectin [Avermectin B1]	1.0			
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0			
75-07-0	Acetaldehyde	0.1			U001
60-35-5	Acetamide	0.1			
75-05-8	Acetonitrile	1.0	X	X	U003
98-86-2	Acetophenone	1.0	X		U004
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0			
107-02-8	Acrolein	1.0	X	X	P003
79-06-1	Acrylamide	0.1	X	X	U007
79-10-7	Acrylic acid	1.0			U008
107-13-1	Acrylonitrile	0.1	X	X	U009
15972-60-8	Alachlor	1.0			
116-06-3	Aldicarb	1.0	X		P070
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1.alpha.,4.alpha.,4a.beta.,5.alpha.,8.alpha.,8a.beta.)-]	1.0	X		P004
107-18-6	Allyl alcohol	1.0	X		P005
107-05-1	Allyl chloride	1.0	X	X	
107-11-9	Allylamine	1.0			
319-84-6	alpha-Hexachlorocyclohexane	1.0		X	
134-32-7	alpha-Naphthylamine	0.1	X		U167
7429-90-5	Aluminum (fume or dust)	1.0			
1344-28-1	Aluminum oxide (fibrous form)	1.0			
20859-73-8	Aluminum phosphide	1.0	X		P006
834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6-(methylthio)-1,3,5-triazine-2,4-diamine)	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

33089-61-1	Amitraz	1.0			
61-82-5	Amitrole	0.1	X		U011
7664-41-7	Ammonia	1.0			
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazin-2-amine]	1.0			
62-53-3	Aniline	1.0	X	X	U012
120-12-7	Anthracene	1.0		X	
7440-36-0	Antimony	1.0	X	X	
7440-38-2	Arsenic	0.1	X	X	
1332-21-4	Asbestos (friable)	0.1			
1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methylethyl)-1,3,5-triazine-2,4-diamine)	0.1			
7440-39-3	Barium	1.0	X	X	
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0	X	X	
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl) benzenamine)	1.0			
17804-35-2	Benomyl	1.0	X	X	
56-55-3	Benz(a)anthracene				U018
98-87-3	Benzal chloride	1.0	X	X	U017
55-21-0	Benzamide	1.0			
71-43-2	Benzene	0.1	X	X	U019
92-87-5	Benzidine	0.1	X		U021
218-01-9	Benzo(a)phenanthrene				
50-32-8	Benzo(a)pyrene				U022
205-99-2	Benzo(b)fluoranthene				
205-82-3	Benzo(j)fluoranthene				
207-08-9	Benzo(k)fluoranthene				
189-55-9	Benzo(rst)pentaphene				U064
98-07-7	Benzoic trichloride (Benzotrichloride)		X		U023
98-88-4	Benzoyl chloride	1.0			
94-36-0	Benzoyl peroxide	1.0			
100-44-7	Benzyl chloride	1.0	X		P028
7440-41-7	Beryllium	0.1	X	X	P015
91-59-8	beta-Naphthylamine	0.1	X	X	U168
57-57-8	beta-Propiolactone	0.1			
82657-04-3	Bifenthrin	1.0			
92-52-4	Biphenyl	1.0			
108-60-1	Bis(2-chloro-1-methylethyl)ether	1.0	X		U027
111-91-1	Bis(2-chloroethoxy) methane	1.0	X	X	U024
111-44-4	Bis(2-chloroethyl) ether	1.0	X	X	U025
103-23-1	Bis(2-ethylhexyl) adipate				
542-88-1	Bis(chloromethyl) ether	0.1	X		P016
56-35-9	Bis(tributyltin) oxide	1.0			
10294-34-5	Boron trichloride	1.0			
7637-07-2	Boron trifluoride	1.0			
314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4-(1H,3H)-pyrimidinedione)	1.0			
53404-19-6	Bromacil, lithium salt (2,4-(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3 (1-methylpropyl), lithium salt)	1.0			
7726-95-6	Bromine	1.0			
353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0			
75-25-2	Bromoform (Tribromomethane)	1.0	X	X	U225
74-83-9	Bromomethane (Methyl bromide)	1.0	X	X	U029
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0			
1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzonitrile)	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4-cyanophenyl ester)	1.0			
357-57-3	Brucine	1.0	X		P018
141-32-2	Butyl acrylate	1.0			
123-72-8	Butyraldehyde	1.0			
4680-78-8	C.I. Acid Green 3	1.0			
6459-94-5	C.I. Acid Red 114	0.1			
569-64-2	C.I. Basic Green 4	1.0			
989-38-8	C.I. Basic Red 1	1.0			
1937-37-7	C.I. Direct Black 38	0.1			
28407-37-6	C.I. Direct Blue 218	0.1			
2602-46-2	C.I. Direct Blue 6	0.1			
16071-86-6	C.I. Direct Brown 95	0.1			
2832-40-8	C.I. Disperse Yellow 3	1.0			
81-88-9	C.I. Food Red 15	1.0			
3761-53-3	C.I. Food Red 5	0.1			
3118-97-6	C.I. Solvent Orange 7	1.0			
842-07-9	C.I. Solvent Yellow 14	1.0			
97-56-3	C.I. Solvent Yellow 3	1.0			
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1	X		U014
128-66-5	C.I. Vat Yellow 4	1.0			
7440-43-9	Cadmium	0.1	X	X	
156-62-7	Calcium cyanamide	1.0			
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]	1.0			
63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0	X	X	
1563-66-2	Carbofuran	1.0	X	X	
75-15-0	Carbon disulfide	1.0	X	X	P022
56-23-5	Carbon tetrachloride	0.1	X	X	U211
463-58-1	Carbonyl sulfide	1.0			
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0			
120-80-9	Catechol	1.0			
2439-01-2	Chinomethionat (6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one)	1.0			
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0			
57-74-9	Chlordane [4,7-Methanoindan, 1,2,3,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	0.1	X	X	U036
115-28-6	Chlorendic acid	0.1			
90982-32-4	Chlorimuron ethyl (Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)-carbonyl]-amino]sulfonyl]benzoate)	1.0			
7782-50-5	Chlorine	1.0			
10049-04-4	Chlorine dioxide	1.0			
79-11-8	Chloroacetic acid	1.0			
108-90-7	Chlorobenzene	1.0	X	X	U037
510-15-6	Chlorobenzilate [Benzenecetic acid,4-chloro-.alpha.-(4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0	X	X	
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0			
75-00-3	Chloroethane (Ethyl chloride)	1.0		X	
67-66-3	Chloroform	0.1	X	X	U044
74-87-3	Chloromethane (Methyl chloride)	1.0	X	X	U045
107-30-2	Chloromethyl methyl ether	0.1	X		U046
76-06-2	Chloropicrin	1.0			
126-99-8	Chloroprene	1.0	X	X	U210
63938-10-3	Chlorotetrafluoroethane	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	1.0			
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0			
5598-13-0	Chlorpyrifos methyl (O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate)	1.0			
64902-72-3	Chlorsulfuron (2-Chloro-N-[[[4-methoxy-6-methyl-1,3,5-triazin-2-yl]amino]carbonyl]benzenesulfonamide)	1.0			
7440-47-3	Chromium	0.1	X	X	
7440-48-4	Cobalt	0.1			
7440-50-8	Copper	1.0			
8001-58-9	Creosote	0.1			U051
1319-77-3	Cresol (mixed isomers)	1.0	X		U052
4170-30-3	Crotonaldehyde	1.0	X		U053
98-82-8	Cumene	1.0			U055
80-15-9	Cumene hydroperoxide	1.0			U096
135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1			
21725-46-2	Cyanazine	1.0			
1134-23-2	Cycloate	1.0	X	X	
110-82-7	Cyclohexane	1.0			U056
108-93-0	Cyclohexanol	1.0			
68359-37-5	Cyfluthrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl)methyl ester)	1.0			
68085-85-8	Cyhalothrin (3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-Dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester)	1.0			
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrene]	1.0			
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0	X		
53404-60-7	Dazomet, sodium salt (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium)	1.0			
1163-19-5	Decabromodiphenyl oxide	1.0			
13684-56-5	Desmedipham	1.0			
117-81-7	Di(2-ethylhexyl) phthalate (DEHP)	0.1	X	X	U028
2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S-(2,3-dichloro-2-propenyl)ester]	1.0	X		U062
25376-45-8	Diaminotoluene (mixed isomers)	0.1	X		U221
333-41-5	Diazinon	1.0			
334-88-3	Diazomethane	1.0			
226-36-8	Dibenz(a,h)acridine				
224-42-0	Dibenz(a,j)acridine				
5385-75-1	Dibenzo(a,e)fluoranthene	1.0			
192-65-4	Dibenzo(a,e)pyrene				
53-70-3	Dibenzo(a,h)anthracene				U063
189-64-0	Dibenzo(a,h)pyrene				
191-30-0	Dibenzo(a,l)pyrene				
132-64-9	Dibenzofuran	1.0			
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0			
84-74-2	Dibutyl phthalate	1.0	X	X	U069
1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0			
99-30-9	Dichloran (2,6-Dichloro-4-nitroaniline)	1.0			
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0			
25321-22-6	Dichlorobenzene (mixed isomers)	0.1	X		
75-27-4	Dichlorobromomethane	1.0		X	
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0	X	X	U075

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

75-43-4	Dichlorofluoromethane (HCFC-21)	1.0			
75-09-2	Dichloromethane (Methylene chloride)	0.1	X	X	U080
127564-92-5	Dichloropentafluoropropane	1.0			
97-23-4	Dichlorophene (2,2'-Methylenebis(4-chlorophenol)	1.0			
76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0			
34077-87-7	Dichlorotrifluoroethane	1.0			
62-73-7	Dichlorvos [Phosphoric acid, 2-dichloroethenyl dimethyl ester]	0.1			
51338-27-3	Diclofop methyl (2-[4-(2,4-Dichlorophenoxy) phenoxy]propanoic acid, methyl ester)	1.0			
115-32-2	Dicofol [Benzenemethanol, 4-chloro-.alpha.-4-chlorophenyl)-.alpha.-(trichloromethyl)-]	1.0			
77-73-6	Dicyclopentadiene	1.0			
1464-53-5	Diepoxybutane	0.1	X		U085
111-42-2	Diethanolamine	1.0			
38727-55-8	Diethyl ethyl	1.0			
84-66-2	Diethyl phthalate	0.1			U088
64-67-5	Diethyl sulfate	0.1			
134190-37-7	Diethyldiisocyanatobenzene				
35367-38-5	Diflubenzuron	1.0			
101-90-6	Diglycidyl resorcinol ether	0.1			
94-58-6	Dihydrosafrole	0.1	X		U090
55290-64-7	Dimethipin (2,3,-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide)	1.0			
60-51-5	Dimethoate	1.0	X		P044
2524-03-0	Dimethyl chlorothiophosphate	1.0			
131-11-3	Dimethyl phthalate	1.0	X	X	U102
77-78-1	Dimethyl sulfate	0.1	X		U103
124-40-3	Dimethylamine	1.0			U092
2300-66-5	Dimethylamine dicamba	1.0			
79-44-7	Dimethylcarbaryl chloride	0.1	X		U097
88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0			P020
25321-14-6	Dinitrotoluene (mixed isomers)	1.0			
39300-45-3	Dinocap	1.0			
957-51-7	Diphenamid	1.0			
122-39-4	Diphenylamine	1.0	X		
2164-07-0	Dipotassium endothall (7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt)	1.0			
136-45-8	Dipropyl isocinchomeronate	1.0			
138-93-2	Disodium cyanodithioimidocarbonate	1.0			
330-54-1	Diuron	1.0			
2439-10-3	Dodine (Dodecylguanidine monoacetate)	1.0			
106-89-8	Epichlorohydrin	0.1	X		U041
13194-48-4	Ethoprop (Phosphorodithioic acid O-ethyl S,S-dipropyl ester)	1.0			
140-88-5	Ethyl acrylate	0.1			U113
541-41-3	Ethyl chloroformate	1.0			
759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0	X	X	
100-41-4	Ethylbenzene	1.0		X	
74-85-1	Ethylene	1.0			
107-21-1	Ethylene glycol	1.0			
75-21-8	Ethylene oxide	0.1	X	X	U115
96-45-7	Ethylene thiourea	0.1	X		U116
151-56-4	Ethyleneimine (Aziridine)	0.1	X		P054
75-34-3	Ethylidene dichloride	1.0	X	X	U076
52-85-7	Famphur	1.0	X	X	P097
60168-88-9	Fenarimol (.alpha.-(2-Chlorophenyl)-.alpha.-4-chlorophenyl)-5-pyrimidinemethanol)	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distanoxane)	1.0			
66441-23-4	Fenoxaprop ethyl (2-(4-((6-Chloro-2-benzoxazolylen)oxy)phenoxy)propanoic acid, ethyl ester)	1.0			
72490-01-8	Fenoxycarb (2-(4-Phenoxy-phenoxy)-ethyl]carbamic acid ethyl ester)	1.0			
39515-41-8	Fenpropathrin (2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester)	1.0			
55-38-9	Fenthion (O,O-Dimethyl O-[3-methyl-4-(methylthio) phenyl] ester, phosphorothioic acid)	1.0			
51630-58-1	Fenvalerate (4-Chloro-alpha-(1-methylethyl)benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester)	1.0			
14484-64-1	Ferbam (Tris(dimethylcarbarnodithioato-S,S')iron)	1.0	X		
69806-50-4	Fluazifop butyl (2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]-phenoxy]propanoic acid, butyl ester)	1.0			
2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0			
7782-41-4	Fluorine	1.0	X		P056
51-21-8	Fluorouracil (5-Fluorouracil)	1.0			
69409-94-5	Fluvalinate (N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester)	1.0			
133-07-3	Folpet	1.0			
72178-02-0	Fomesafen (5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl)-2-nitrobenzamide)	1.0			
50-00-0	Formaldehyde	0.1	X		U122
64-18-6	Formic acid	1.0	X		U123
76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0		X	
76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]		X	X	P059
87-68-3	Hexachloro-1,3-butadiene	1.0	X	X	U128
118-74-1	Hexachlorobenzene	0.1	X	X	U127
77-47-4	Hexachlorocyclopentadiene	1.0	X	X	U130
67-72-1	Hexachloroethane	1.0	X	X	U131
1335-87-1	Hexachloronaphthalene	1.0			
70-30-4	Hexachlorophene	1.0	X		U132
680-31-9	Hexamethylphosphoramide	0.1			
51235-04-2	Hexazinone	1.0			
67485-29-4	Hydramethylnon (Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenylidene]hydrazone)	1.0			
302-01-2	Hydrazine	0.1	X		U133
10034-93-2	Hydrazine sulfate	0.1			
7647-01-0	Hydrochloric acid	1.0			
74-90-8	Hydrogen cyanide	1.0	X		P063
7664-39-3	Hydrogen fluoride	1.0	X		U134
123-31-9	Hydroquinone	1.0			
35554-44-0	Imazalil (1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole)	1.0			
193-39-5	Indeno[1,2,3-cd]pyrene				U137
13463-40-6	Iron pentacarbonyl	1.0			
78-84-2	Isobutyraldehyde	1.0			
465-73-6	Isodrin	1.0	X	X	P060
25311-71-1	Isofenphos (2-[[Ethoxyl[(1-methylethyl)amino]phosphinothioyl]oxy] benzoic acid 1-methylethyl ester)	1.0			
4098-71-9	Isophorone diisocyanate	1.0			
67-63-0	Isopropyl alcohol (mfg-strong acid process)	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

120-58-1	Isosafrole	1.0	X	X	U141
77501-63-4	Lactofen (5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitro-2-ethoxy-1-methyl-2-oxoethyl ester)	1.0			
7439-92-1	Lead	0.1	X	X	
58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1.alpha.,2.alpha.,3.beta.,4.alpha.,5.alpha.,6.beta.)-]	0.1	X	X	U129
330-55-2	Linuron	1.0			
554-13-2	Lithium carbonate	1.0			
108-39-4	m-Cresol	1.0		X*	U052
99-65-0	m-Dinitrobenzene	1.0			
108-38-3	m-Xylene	1.0		X*	U239
121-75-5	Malathion	1.0			
108-31-6	Maleic anhydride	1.0	X		U147
109-77-3	Malononitrile	1.0	X		U149
12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanediylbis-, manganese complex]	1.0			
7439-96-5	Manganese	1.0			
93-65-2	Mecoprop	0.1			
7439-97-6	Mercury	1.0	X	X	U151
150-50-5	Merphos	1.0			
126-98-7	Methacrylonitrile	1.0	X	X	
137-42-8	Metham sodium (Sodium methylthiocarbamate)	1.0	X		
67-56-1	Methanol	1.0		X	U154
20354-26-1	Methazole (2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione)	1.0			
2032-65-7	Methiocarb	1.0	X	X	
94-74-6	Methoxone ((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)	0.1			
3653-48-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy) acetate sodium salt)	0.1			
72-43-5	Methoxychlor [Benzene, 1,1'-(2,2,2-trichloroethylidene)bis [4-methoxy-]]	1.0	X	X	U247
96-33-3	Methyl acrylate	1.0			
79-22-1	Methyl chlorocarbonate	1.0	X		U156
78-93-3	Methyl ethyl ketone	1.0	X	X	U159
60-34-4	Methyl hydrazine	1.0	X		P068
74-88-4	Methyl iodide	1.0	X	X	U138
108-10-1	Methyl isobutyl ketone	1.0		X	U161
624-83-9	Methyl isocyanate	1.0	X		P064
556-61-6	Methyl isothiocyanate (Isothiocyanatomethane)	1.0			
80-62-6	Methyl methacrylate	1.0	X	X	U162
298-00-0	Methyl parathion	1.0	X	X	P071
1634-04-4	Methyl tert-butyl ether	1.0			
74-95-3	Methylene bromide	1.0	X	X	U068
101-68-8	Methylenebis(phenylisocyanate) (MBI)				
101-68-8	Methylenebis(phenylisocyanate) (MDI)				
9006-42-2	Metiram	1.0			
21087-64-5	Metribuzin	1.0			
7786-34-7	Mevinphos	1.0			
90-94-8	Michler's ketone	0.1			
2212-67-1	Molinate (1H-Azepine-1 carbothioic acid, hexahydro-S-ethyl ester)	1.0	X	X	
1313-27-5	Molybdenum trioxide	1.0			
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0			
150-68-5	Monuron	1.0			
505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1	X		

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

88671-89-0	Myclobutanil (.alpha.-Butyl-.alpha.-(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile)	1.0			
121-69-7	N,N-Dimethylaniline	1.0			
68-12-2	N,N-Dimethylformamide	0.1			
71-36-3	n-Butyl alcohol	1.0		X	U031
110-54-3	n-Hexane	1.0			
872-50-4	N-Methyl-2-pyrrolidone	1.0			
924-42-5	N-Methylolacrylamide	1.0			
759-73-9	N-Nitroso-N-ethylurea	0.1	X		U176
684-93-5	N-Nitroso-N-methylurea	0.1	X		U177
924-16-3	N-Nitrosodi-n-butylamine	0.1	X	X	U172
621-64-7	N-Nitrosodi-n-propylamine	0.1	X	X	U111
55-18-5	N-Nitrosodiethylamine	0.1	X	X	U174
62-75-9	N-Nitrosodimethylamine	0.1	X		P082
86-30-6	N-Nitrosodiphenylamine	1.0		X	
4549-40-0	N-Nitrosomethylvinylamine	0.1	X		P084
59-89-2	N-Nitrosomorpholine	0.1	X	X	
16543-55-8	N-Nitrosomonicotine	0.1	X		
100-75-4	N-Nitrosopiperidine	0.1	X	X	U179
142-59-6	Nabam	1.0			
300-76-5	Naled	1.0			
91-20-3	Naphthalene	1.0	X	X	U165
7440-02-0	Nickel	0.1	X	X	
1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)pyridine)	1.0			
7697-37-2	Nitric acid	1.0			
139-13-9	Nitrioltriacetic acid	0.1			
98-95-3	Nitrobenzene	1.0	X	X	U169
1836-75-5	Nitrofen [Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]	0.1			
51-75-2	Nitrogen mustard [2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	0.1	X		
55-63-0	Nitroglycerin	1.0	X		P081
27314-13-2	Norflurazon (4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone)	1.0			
90-04-0	o-Anisidine	0.1			
134-29-2	o-Anisidine hydrochloride	0.1			
95-48-7	o-Cresol	1.0		X	U052
528-29-0	o-Dinitrobenzene	1.0			
95-53-4	o-Toluidine	0.1	X		U328
636-21-5	o-Toluidine hydrochloride	0.1	X		U222
95-47-6	o-Xylene	1.0		X	U239
2234-13-1	Octachloronaphthalene	1.0			
19044-88-3	Oryzalin (4-(Dipropylamino)-3,5-dinitrobenzenesulfonamide)	1.0			
20816-12-0	Osmium tetroxide	1.0	X		P087
301-12-2	Oxydemeton methyl (S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid)	1.0			
19666-30-9	Oxydiazon (3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one)	1.0			
42874-03-3	Oxyfluorfen	1.0			
10028-15-6	Ozone	1.0			
104-94-9	p-Anisidine	1.0			
95-69-2	p-Chloro-o-toluidine	0.1			
106-47-8	p-Chloroaniline	0.1	X	X	P024
104-12-1	p-Chlorophenyl isocyanate	1.0			
120-71-8	p-Cresidine	0.1			
106-44-5	p-Cresol	1.0		X*	U239
100-25-4	p-Dinitrobenzene	1.0		X	

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

100-01-6	p-Nitroaniline	1.0	X	X	P077
156-10-5	p-Nitrosodiphenylamine	1.0			
106-50-3	p-Phenylenediamine	1.0			
106-42-3	p-Xylene	1.0		X*	U239
123-63-7	Paraldehyde	1.0	X		U182
1910-42-5	Paraquat dichloride	1.0			
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl) ester]	1.0	X	X	P089
1114-71-2	Pebulate (Butylethylcarbamothioic acid S-propyl ester)	1.0	X	X	
40487-42-1	Pendimethalin (N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine)	1.0			
76-01-7	Pentachloroethane	1.0	X	X	U184
87-86-5	Pentachlorophenol (PCP)	0.1	X	X	
57-33-0	Pentobarbital sodium	1.0			
79-21-0	Peracetic acid	1.0			
594-42-3	Perchloromethyl mercaptan	1.0			
52645-53-1	Permethrin (3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropane carboxylic acid, (3-phenoxyphenyl)methyl ester)	1.0			
85-01-8	Phenanthrene	1.0		X	
108-95-2	Phenol	1.0	X		U188
26002-80-2	Phenothrin (2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester)	1.0			
57-41-0	Phenytol	0.1			
75-44-5	Phosgene	1.0	X		P095
7803-51-2	Phosphine	1.0	X		P096
7664-38-2	Phosphoric acid	1.0			
7723-14-0	Phosphorus (yellow or white)	1.0			
85-44-9	Phthalic anhydride	1.0	X	X	U190
1918-02-1	Picloram	1.0			
88-89-1	Picric acid	1.0			
51-03-6	Piperonyl butoxide	1.0			
29232-93-7	Pirimiphos methyl (O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethyl phosphorothioate)	1.0			
1336-36-3	Polychlorinated biphenyls (PCBs)	0.1		X	
9016-87-9	Polymeric diphenylmethane diisocyanate	1.0			
7758-01-2	Potassium bromate	0.1			
128-03-0	Potassium dimethyldithiocarbamate	1.0	X		
137-41-7	Potassium N-methyldithiocarbamate	1.0	X		
41198-08-7	Profenofos (O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propylphosphorothioate)	1.0			
7287-19-6	Prometryn (N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine)	1.0			
23950-58-5	Pronamide	1.0	X	X	U192
1918-16-7	Propachlor (2-Chloro-N-(1-methylethyl)-N-phenylacetamide)	1.0			
1120-71-4	Propane sultone	0.1	X		U193
709-98-8	Propanil (N-(3,4-Dichlorophenyl)propanamide)	1.0			
2312-35-8	Propargite	1.0			
107-19-7	Propargyl alcohol	1.0	X		P102
31218-83-4	Propetamphos (3-[(Ethylamino)methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester)	1.0			
60207-90-1	Propiconazole (1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4-triazole)	1.0			
123-38-6	Propionaldehyde	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0	X	X	
115-07-1	Propylene (Propene)	1.0			
75-56-9	Propylene oxide	0.1			
75-55-8	Propyleneimine	0.1	X		P067
110-86-1	Pyridine	1.0	X	X	U196
91-22-5	Quinoline	1.0			
106-51-4	Quinone	1.0	X		U197
82-68-8	Quintozone (Pentachloronitrobenzene)	1.0	X	X	U185
76578-14-8	Quizalofop-ethyl (2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy] propanoic acid ethyl ester)	1.0			
10453-86-8	Resmethrin ([5-(Phenylmethyl)-3-furanyl]methyl 2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylate])	1.0			
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0			
81-07-2	Saccharin (manufacturing)	0.1	X		U202
94-59-7	Safrole	0.1	X	X	U203
78-92-2	sec-Butyl alcohol	1.0			
7782-49-2	Selenium	1.0	X	X	
74051-80-2	Sethoxydim (2-[1-(Ethoxyimino) butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one)	1.0			
7440-22-4	Silver	1.0	X	X	
122-34-9	Simazine	1.0			
26628-22-8	Sodium azide	1.0			P105
1982-69-0	Sodium dicamba (3,6-Dichloro-2-methoxybenzoic acid, sodium salt)	1.0			
128-04-1	Sodium dimethyldithiocarbamate	1.0	X		
62-74-8	Sodium fluoroacetate	1.0	X		P058
7632-00-0	Sodium nitrite	1.0			
132-27-4	Sodium o-phenylphenoxide	0.1			
131-52-2	Sodium pentachlorophenate	1.0			
100-42-5	Styrene	0.1			
96-09-3	Styrene oxide	0.1			
7664-93-9	Sulfuric acid	1.0			
2699-79-8	Sulfuryl fluoride (Vikane)	1.0			
35400-43-2	Sulprofos (O-Ethyl O-[4-(methylthio)phenyl]phosphorodithioic acid S-propyl ester)	1.0			
34014-18-1	Tebuthiuron (N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea)	1.0			
3383-96-8	Temephos	1.0			
5902-51-2	Terbacil (5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione)	1.0			
75-65-0	tert-Butyl alcohol	1.0			
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1	X	X	
961-11-5	Tetrachlorvinphos [Phosphoric acid, 2-chloro-1-(2,3,5-trichlorophenyl) ethenyl dimethyl ester]	1.0			
64-75-5	Tetracycline hydrochloride	1.0			
7696-12-0	Tetramethrin (2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester)	1.0			
7440-28-0	Thallium	1.0	X	X	
148-79-8	Thiabendazole (2-(4-Thiazolyl)-1H-benzimidazole)	1.0			
62-55-5	Thioacetamide	0.1	X		U218
28249-77-6	Thiobencarb (Carbamic acid, diethylthio-, S-(p-chlorobenzyl))	1.0			
59669-26-0	Thiodicarb	1.0	X	X	
23564-05-8	Thiophanate-methyl	1.0	X	X	
23564-06-9	Thiophanate ethyl ([1,2-Phenylenebis (iminocarbonothioyl)] biscardamic acid diethyl ester)	1.0			

TRI FORM R GUIDANCE DOCUMENT

CHEMICAL DISTRIBUTORS

79-19-6	Thiosemicarbazide	1.0	X		P116
62-56-6	Thiourea	0.1	X		U219
137-26-8	Thiram	1.0	X		U244
1314-20-1	Thorium dioxide	1.0			
7550-45-0	Titanium tetrachloride	1.0			
108-88-3	Toluene	1.0	X	X	U220
584-84-9	Toluene-2,4-diisocyanate	0.1			
91-08-7	Toluene-2,6-diisocyanate	0.1			
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1	X		U223
8001-35-2	Toxaphene	0.1	X	X	P123
10061-02-6	trans-1,3-Dichloropropene	0.1		X	
110-57-6	trans-1,4-Dichloro-2-butene	1.0			
43121-43-3	Triadimefon (1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone)	1.0			
2303-17-5	Triallate	1.0	X	X	
68-76-8	Triaziquone [2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	1.0			
101200-48-0	Tribenuron methyl (2-(4-Methoxy-6-methyl-1,3,5-triazin-2-yl)-methylamino)carbonylamino)sulfonyl-, methyl ester)	1.0			
1983-10-4	Tributyltin fluoride	1.0			
2155-70-6	Tributyltin methacrylate	1.0			
52-68-6	Trichlorfon [Phosphonic acid, (2,2,2-trichloro-1-hydroxyethyl)-, dimethyl ester]	1.0			
76-02-8	Trichloroacetyl chloride	1.0			
79-01-6	Trichloroethylene	0.1	X	X	U228
75-69-4	Trichlorofluoromethane (CFC-11)	1.0	X	X	U121
57213-69-1	Triclopyr triethylammonium salt	1.0			
121-44-8	Triethylamine	1.0	X		
1582-09-8	Trifluralin [Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	1.0			
26644-46-2	Triforine (N,N'-[1,4-Piperazinediylbis(2,2,2-trichloroethylidene)] bisformamide)	1.0			
639-58-7	Triphenyltin chloride	1.0			
76-87-9	Triphenyltin hydroxide	1.0			
126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1	X	X	U235
72-57-1	Trypan blue	0.1	X		U236
51-79-6	Urethane (Ethyl carbamate)	0.1	X		U238
7440-62-2	Vanadium (fume or dust)	1.0		X	
50471-44-8	Vinclozolin (3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione)	1.0			
108-05-4	Vinyl acetate	0.1			
593-60-2	Vinyl bromide	0.1			
75-01-4	Vinyl chloride	0.1	X	X	U043
75-35-4	Vinylidene chloride	1.0	X	X	U078
1330-20-7	Xylene (mixed isomers)	1.0		X	U239
7440-66-6	Zinc (fume or dust)	1.0		X	
12122-67-7	Zineb [Carbamodithioic acid, 1,2-ethanedithiolbis-, zinc complex]	1.0			

* as mixed isomers (sum)

Chemical Categories

Section 313 requires reporting on the toxic chemical categories listed below, in addition to the specific toxic chemicals listed above.

The metal compounds listed below, unless otherwise specified, are defined as including any unique chemical substance that contains the named metal (i.e., antimony, nickel, etc.) as part of that chemical's structure.

Toxic chemical categories are subject to the 1 percent *de minimis* concentration unless the substance involved meets the definition of an OSHA carcinogen in which case the 0.1 percent *de minimis* concentration applies. The *de minimis* concentration for each category is provided in parentheses.

Chemical Categories

Antimony Compounds (1.0)

Includes any unique chemical substance that contains antimony as part of that chemical's infrastructure.

Arsenic Compounds (inorganic compounds: 0.1; organic compounds: 1.0)

Includes any unique chemical substance that contains arsenic as part of that chemical's infrastructure.

Barium Compounds (1.0)

Includes any unique chemical substance that contains barium as part of that chemical's infrastructure.

This category does not include: Barium sulfate CAS Number 7727-43-7

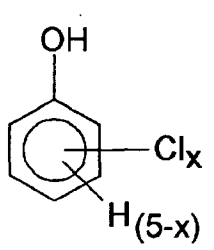
Beryllium Compounds (0.1)

Includes any unique chemical substance that contains beryllium as part of chemical's infrastructure.

Cadmium Compounds (0.1)

Includes any unique chemical substance that contains cadmium as part of that chemical's infrastructure.

Chlorophenols (0.1)



Where $x = 1$ to 5

Chromium Compounds (chromium VI compounds: 0.1; chromium III compounds: 1.0)

Includes any unique chemical substance that contains chromium as part of that chemical's infrastructure.

Cobalt Compounds (0.1)

Includes any unique chemical substance that contains cobalt as chemical's infrastructure.

Copper Compounds (1.0)

Includes any unique chemical substance that contains copper as part of that infrastructure.

This category does not include copper phthalocyanine compounds that substituted with only hydrogen, and/or chlorine, and/or bromine.

Cyanide Compounds (1.0)

$X^+ \text{CN}^-$ where $X = \text{H}^+$ or any other group where a formal dissociation may occur

For example KCN or $\text{Ca}(\text{CN})_2$.

Diisocyanates (1.0)

This category includes only those chemicals listed below.

38661-72-2 1,3-Bis(methylisocyanate)cyclohexane

10347-54-3 1,4-Bis(methylisocyanate)cyclohexane

2556-36-7 1,4-Cyclohexane diisocyanate

134190-37-7 Diethyldiisocyanatobenzene

4128-73-8 4,4'-Diisocyanatodiphenyl ether

75790-87-3 2,4'-Diisocyanatodiphenyl sulfide

91-93-0 3,3'-Dimethoxybenzidine-4,4'-diisocyanate

91-97-4 3,3'-Dimethyl-4,4'-diphenylene diisocyanate

139-25-3 3,3'-Dimethyldiphenylmethane-4,4'-diisocyanate

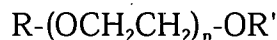
822-06-0 Hexamethylene-1,6-diisocyanate

4098-71-9	Isophorone diisocyanate
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate
5124-30-1	1,1-Methylene bis(4-isocyanatocyclohexane)
101-68-8	Methylenebis(phenylisocyanate) (MDI)
3173-72-6	1,5-Naphthalene diisocyanate
123-61-5	1,3-Phenylene diisocyanate
104-49-4	1,4-Phenylene diisocyanate
9016-87-9	Polymeric diphenylmethane diisocyanate
16938-22-0	2,2,4-Trimethylhexamethylene diisocyanate
15646-96-5	2,4,4-Trimethylhexamethylene diisocyanate

Ethylenebisdithiocarbamic acid, salts and esters (EBDCs) (1.0)

Includes any unique chemical substance that is or that contains EBDC or an EBDC salt or ester as part of that chemical's infrastructure.

Certain Glycol Ethers (1.0)



Where $n = 1, 2, \text{ or } 3$

$R = \text{alkyl C7 or less; or}$

$R = \text{phenyl or alkyl substituted phenyl;}$

$R' = H, \text{ or alkyl C7 or less; or}$

OR' consisting of carboxylic acid ester, sulfate, phosphate, nitrate, or sulfonate.

Lead Compounds (inorganic compounds: 0.1; organic compounds 1.0)

Includes any unique chemical substance that contains lead as part of that chemical's infrastructure.

Manganese Compounds (1.0)

Includes any unique chemical substance that contains manganese as part of that chemical's infrastructure.

Mercury Compounds (1.0)

Includes any unique chemical substance that contains mercury as part of that chemical's infrastructure.

Nickel Compounds (0.1)

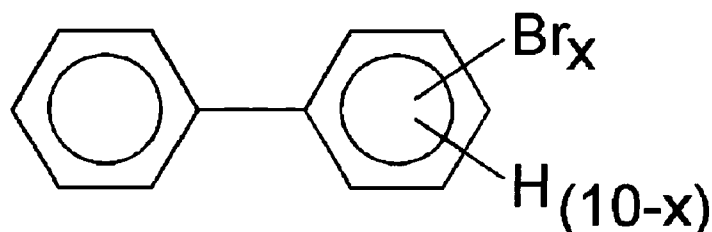
Includes any unique chemical substance that contains nickel as part of that chemical's infrastructure.

Nicotine and salts (1.0)

Includes any unique chemical substance that contains nicotine or a nicotine salt part of that chemical's infrastructure.

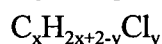
Nitrate compounds (water dissociable; reportable only when in aqueous solution) (1.0)

Polybrominated Biphenyls (PBBs) (0.1)



Where $x = 1$ to 10

Polychlorinated alkanes (C10 to C13) (1.0, except for those members of the category that have an average chain length of 12 carbons and contain an average chlorine content of 60 percent by weight which are subject to the 0.1 percent *de minimis*)



where $x = 10$ to 13 ;

$y = 3$ to 12 ; and

the average chlorine content ranges from 40 - 70% with the limiting molecular formulas $C_{10}H_{19}Cl_3$ and $C_{13}H_{16}Cl_{12}$.

Polycyclic aromatic compounds (PACs) (0.1, except for benzo(a)phenanthrene and dibenzo(a,e)fluoranthene which are subject to the 1.0 percent *de minimis*)

This category includes only those chemicals listed below.

56-55-3	Benz(a)anthracene
205-99-2	Benzo(b)fluoranthene
205-82-3	Benzo(j)fluoranthene
207-08-9	Benzo(k)fluoranthene
189-55-9	Benzo(rst)pentaphene
218-01-9	Benzo(a)phenanthrene
50-32-8	Benzo(a)pyrene
226-36-8	Dibenz(a,h)acridine
224-42-0	Dibenz(a,j)acridine
53-70-3	Dibenzo(a,h)anthracene
194-59-2	7H-Dibenzo(c,g)carbazole
5385-75-1	Dibenzo(a,e)fluoranthene

192-65-4	Dibenzo(a,e)pyrene
189-64-0	Dibenzo(a,h)pyrene
191-30-0	Dibenzo(a,l)pyrene
57-97-6	7,12-Dimethylbenz(a)anthracene
193-39-5	Indeno[1,2,3-cd]pyrene
3697-24-3	5-Methylchrysene
5522-43-0	1-Nitropyrene

Selenium Compounds (1.0)

Includes any unique chemical substance that contains selenium part of chemical's infrastructure.

Silver Compounds (1.0)

Includes any unique chemical substance that contains silver part of that chemical's infrastructure.

Strychnine and salts (1.0)

Includes any unique chemical substance that contains strychnine or a strychnine salt as part of that chemical's infrastructure.

Thallium Compounds (1.0)

Includes any unique chemical substance that contains thallium as part of that chemical's infrastructure.

Warfarin and salts (1.0)

Includes any unique chemical substance that contains warfarin or a warfarin salt as part of that chemical's infrastructure.

Zinc Compounds (1.0)

Includes any unique chemical substance that contains zinc as part of that chemical's infrastructure.

APPENDIX B
BIBLIOGRAPHY

Office of Management and Budget, *Standard Industrial Classification Manual*, 1987.

Science Applications International Corporation, *SIC Code Profile 50 and 51 Wholesale Trade-Durable and Nondurable Goods*, 1997.

APPENDIX C

SECTION 313 RELATED MATERIALS AND ELECTRONIC ACCESS TO INFORMATION

Ordering information for the following documents, in addition to *Toxic Chemical Release Inventory Reporting Form R and Instructions*, can be obtained by calling the EPCRA Hotline at 1-800-535-0202.

Common Synonyms for Section 313 Chemicals. EPA 745-R-95-008. March 1995.

Compilation of Air Pollutant Emission Factors, 5th Edition, Volume I: Stationary Point and Area Sources (AP-42). EPA 450-AP-425ED. 1995.

Consolidated List of Chemicals Subject to Reporting Under the Act (Title III List of Lists). EPA 550-B-96-015.

Estimating Releases and Waste Treatment Efficiencies for the Toxic Chemical Release Inventory Form. EPA 560/4-88-002. December 1987.

Estimating Releases of Mineral Acid Discharges Using pH Measurements. June 1991.

Interpretations of Waste Management Activities: Recycling, Combustion for Energy Recovery, Waste Stabilization and Release. April, 1997.

Protocol for Equipment Leak Emission Estimates. EPA 453/R-95-017. November 1995.

Toxic Chemical Release Inventory Questions and Answers, Revised 1990 Version. EPA 560/4/91-003.

World Wide Web Resources

Code of Federal Regulations, 40 CFR
www.epa.gov/epacfr40

CHEMDAT8/WATER8
www.epa.gov/ttn/chief/software.html#water8

Clearinghouse for Inventories and Emission Factors (CHIEF)

www.epa.gov/ttn/chief/

Compilation of Air Pollutant Emission Factors (AP-42)

www.epa.gov/ttn/chief/ap42etc.html

EPA homepage

www.epa.gov

Federal Registers

www.epa.gov/EPA-TRI

MSDSs (Note: A number of organizations maintain databases that contain MSDS information. The following is a short list of web sites with MSDS information.)

www.dehs.umn.edu/msds.html

www.nwfsc.noaa.gov/msds.html

www.chem.utah.edu/msds

SPECIATE

www.epa.gov/ttn/chief/software.html#speciate

TANKS

www.epa.gov/ttn/chief/tanks.html

TOXNET

www.nlm.nih.gov/pubs/factsheets/toxnetfs.html

TRI homepage

www.epa.gov/opptintr/tri